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To: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** John R. Havens, Thomas J. Onofrey, Charles H. Greef, Gregory J Kevorkian,  
Jian Krotz, Kristie L. Lykstad, Daniel E. Raymond, Howard R. Reese, Regina  
Rooney, John J. Scott

**Title:** BIOMOLECULAR ATTACHMENT SITES ON MICROELECTRONIC  
ARRAYS AND METHODS THEREOF

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

45 Page(s) of Written Description

13 Page(s) Claims

1 Page(s) Abstract

44 Sheets of Drawings \_\_\_\_\_ Informal X Formal ( 8 photos in triplicate, plus  
20 non-photo sheets)

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

☒ Declaration

☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration

SD-131674.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

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- ☒ Assignment to Nanogen, Inc. and assignment cover sheet
- ☒ Verified Statement establishing “**Small Entity**” under 37 CFR §§ 1.9 and 1.27
- ☐ Priority Document No(s): \_\_\_\_\_
- ☒ Information Disclosure Statement w/PTO 1449 ☒ Copy of Citations (partial listing submitted)
- ☐ Preliminary Amendment
- ☒ Petition
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**III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

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Total Claims	66	-	20	=	46	x \$18.00 \$760.00
Independent Claims	8	-	3	=	5	x \$78.00 \$828.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/> \$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$1978.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						<input checked="" type="checkbox"/> \$989.00
Misc. Filing Fees (Recordation of Assignment -- \$40, Petition - \$130)						\$170.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>						<b>\$1159.00</b>

**IV. METHOD OF PAYMENT OF FEES**

- ☐ A check in the amount of \_\_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$1159.00.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

**V. AUTHORIZATION to CHARGE FEES**

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for the following:


- ☒ 37 CFR § 1.16(a), (f) or (g) – (**Filing fees**)
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- ☒ 37 CFR § 1.16(e) – (Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)
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Respectfully submitted,

LYON & LYON LLP

Dated: Sept 30, 1999

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Serial or Patent No. : Not Yet Assigned

Filed or Issued: \_\_\_\_\_

For: MICROMOLECULAR ATTACHMENT SITES ON MICROELECTRONIC ARRAYS AND METHODS THEREOF

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

by inventor(s) John R. Havens, Thomas J. Onofrey, Charles H. Greef, Gregory J. Kevorkian, Jain Krotz, Kristie L. Lykstad, Daniel E. Raymond, Howard R. Reese, Regina Rooney and John J. Scott

described in

- ☒ the specification filed herewith
- ☐ the application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

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☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

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ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Harry J. Leonhardt

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SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

## BIOMOLECULAR ATTACHMENT SITES ON MICROELECTRONIC ARRAYS AND METHODS THEREOF

### 5 FIELD OF THE INVENTION

This invention relates to the incorporation of functional groups in association with the permeation layer of an electronically addressable microarray. More specifically, this invention relates to microelectronic array permeation layers and their fabrication wherein there is included specific functional groups that may be activated at  
10 specified locations on the microarray and/or polymerized to high density for attaching biomolecules.

### BACKGROUND OF THE INVENTION

The following description provides a summary of information relevant to the  
15 present invention. It is not an admission that any of the information provided herein is prior art to the presently claimed invention, nor that any of the publications specifically or implicitly referenced are prior art to the invention.

The art of attachment chemistry for macroscopic and microscopic arrays has received much attention in recent years. However, as requirements for assay  
20 sensitivities have increased, the need for attachment chemistries that are able to provide greater specificity in attachment of biomolecules, as well as greater density of attached biomolecules, has also increased.

Although covalent and non-covalent attachment chemistries have become well developed, few advances have overcome some of the fundamental difficulties  
25 experienced with *electronic* microarrays. For example, problems with present electronic microarrays include non-specific binding of biomolecules outside specific capture/detection sites and the inability to control passive binding of such biomolecules before, during, and after electronic addressing. These problems result in less than ideal discrimination of target molecules from nontarget molecules. Likewise, attachment  
30 chemistries currently in use have made it difficult to manipulate unused capture sites during multiple site or sequential site addressing of target molecules without encountering undesired passive binding of nontarget molecules to the capture sites.

In providing a solution to the problems of low specificity of binding and discernment of target from nontarget, we provide attachment chemistry for attaching biomolecules that provides specificity of binding as well as enhanced target discernment at the capture sites by a method which significantly increases the density of target binding sites on the microarray. Moreover, this method provides for localizing the deposition of target binding sites using the electrodes of the microarray.

While much of the art involving microarray deposition concerns masking techniques to enhance specificity of binding, one method has been used to electrochemically treat the surface desired to be patterned with chemical moieties. In PCT application WO93/22480 by Southern is disclosed a method of electrochemically patterning a surface wherein an electrode grid is positioned adjacent to the surface desired to be patterned in solution and in direct contact with the electrolyte. The electrode grid provides an electronic potential that directs deposition of molecules, removal of molecules, or chemical modification of molecules on the substrate surface. Following such electronic treatment process, the grid is removed. Although such a method provides a means for patterning a nonelectronic surface, it is applicable only to passive array formats. Additionally, the method can only be applied in initial manufacture of an array and not applied to an array in an active "on-demand" manner.

Other art has centered on deposition chemistry. For example, Sundberg *et al.* in U.S. Patent No. 5,919,523 discloses attachment chemistry typically used for attaching molecules directly to derivatized glass slides. Such chemistry is designed for attaching and synthesizing nucleic acid sequences wherein one useful aspect is a need for wettability of the substrate surface. Such chemistry is further distinguished from that used in the present invention in that the electronic microarrays of the present invention require a porous permeation layer above the electrodes which comprises reactive moieties that participate in the attachment and polymerization chemistry scheme of the invention.

In both of the above examples, as in the case with typical attachment chemistries, the levels of binding of attached molecules is limited to the number of reactive moieties present on the substrate surface. Thus, there remains a need in general for a method of derivatizing a substrate surface in such a way that attachment sites for

binding molecules of interest to the substrate is dramatically increased. We have found such a method with respect specifically to substrates comprising permeation layers of electronically addressable microarrays.

As is well understood in the art of hybridization and detection of target molecules using nucleic acid probes, it is important to have a high density of capture probe binding on the detection surface of the microarray. In conventional surface modification techniques, the available groups on the surface are derivatized to include functional moieties that can bind to capture probes. The capture probe binding in turn depends on the number and availability of derivatized groups or binding sites on the detection surface. In many applications, such as infectious disease detection, genomic research, *etc.*, the ability to detect very low levels of nucleic acid is necessary. To this end, the current invention provides a novel method of surface modification wherein polymers of functional groups having multiples of attachment moieties for binding capture probes (i.e. derivatized biomolecules) are on the surface of the permeation layer. The availability of such attachment moieties may also be placed at predetermined positions on the array. This provides for increased discrimination between specific and nonspecific binding of biomolecules on the microarray.

## SUMMARY OF THE INVENTION

### 20 Definitions

“Derivatized biomolecules” as used herein means molecules that are used to contact and detect the presence of molecular entities in a test sample. Generally, these include, at least in part, molecules such as nucleic acids, proteins, peptides, enzymes, and antibodies attached to chemical moieties such as streptavidin, biotin, phenyl boronic acid (PBA), and salicylhydroxamic acid (SHA). Derivatized biomolecules also include oligonucleotides containing oxidized ribose, amine terminations, or any entity of the well known bioconjugate pairs as outlined by Hermanson (Hermanson, G. T. *Bioconjugate Techniques* copyright 1996, Academic Press, San Diego, CA) herein incorporated by reference, and/or alternative nucleic acid structures such as *p*RNAs (in reference to *p*RNAs as described in co-pending application 09/374,338 filed August 13, 1999 herein incorporated by reference). Generally, attachment of the chemical moieties



to the biomolecules comprises a covalent bond. With respect to attachment of derivatized biomolecules to the microarray, such attachment may use either an 'A' or an R moiety and may use either a covalent or a noncovalent bond.

“Microarray” as used herein means an electronically addressable microarray such as an array designated the “APEX chip” as in U.S. Patent No. 5,632,957 herein incorporated by reference.

“Permeation layer” as used herein means a porous matrix coating overlying a spaced electrode array on an electronically addressable microchip such as the above defined microarray. The permeation layer may comprise any number of materials. In a preferred embodiment, the layer comprises a base polymer layer comprising materials such as agarose or polymerized acrylamide or methacrylamide hydrogels having biomolecule attachment moieties in the form of R moieties either copolymerized within the base permeation layer matrix or present as a top or surface layer. Such moieties may comprise thiols, ketones, aldehydes, maleimide, amines, hydrazide, hydrazine, methacrylamide-SHA, halo-acetamide, bromopropylamine, and bromoacetyl-propyl-methacrylamide as well as those outlined by Hermanson (*ibid*, Hermanson). The permeation layer further contemplates inclusion of functional groups either copolymerized with or grafted onto the permeation layer polymer matrix. Where grafted, the functional groups may be grafted in a polymerization reaction such that the groups are polymerized in the same reaction as the grafting reaction, or may be grafted in a previously polymerized form. Still further, in its most complete form, the permeation layer contemplates attachment of biomolecules.

“Mean fluorescence intensity” or MFI as used herein means the average of the pixel count values during fluorescence detection over a region of interest upon normalizing the detection camera integration time to one second. The value ranges will vary according to the makeup of the permeation layer. MFI values from one experiment to another can fluctuate by as much as a factor of 10,000 due to many factors including light settings, filters, integration time before normalization, light intensity and initial fluorophore concentration. A direct comparison of MFI values from one experiment to another is not recommended, while comparison of differentiating signals within a given set of experiments is allowed.

“Functional group” means any chemical moiety capable of undergoing a chemical transformation.

Embodiments of the current invention provide permeation layers and methods for permeation layer fabrication for use on electronically addressable microarrays wherein functional groups, designated ‘P-X-R’, are incorporated into the permeation layer by copolymerization during fabrication of the layer, or by grafting onto a preformed base permeation layer. These functional groups are versatile but simple in design. They contain two reactive centers (i.e., the P moiety and the R moiety) that generally perform separate functions but in some circumstances may perform similar functions.

The R moieties may bind to either derivatized biomolecules or to additional functional groups of an identical P-X-R formula or to functional groups of the same formula but having a variation in the P and/or R moiety. Where the R moieties bind to additional P-X-R groups, a grafting method is provided comprising a polymerization reaction in either a *solution* or a *slurry* process described below, wherein the R moiety reacts with a P or the R moiety of the added group. In a further embodiment, the P moiety may react with P or the R moieties of a second added group and so forth. The functional groups to be added may also already be polymerized prior to addition to the base permeation layer. Whether the R moiety is to bind to a derivatized biomolecule or to additional functional groups, the R moiety may bind directly, due to the chemical structure of the R moiety (i.e., the R group does not need to be “activated”), or may be of such chemical makeup that it will bind only after being activated. Activation may be induced either nonspecifically or specifically. Where activation is initiated nonspecifically, the R groups are activated by generating a pH change throughout a solution overlying the microarray. Where activation is initiated specifically, the R groups are activated by generating a pH change in a solution overlying the microarray only at positions directly above specific locations on the microarray corresponding to the positions of the electrodes underlying the permeation layer.

The P moieties are designed to participate in polymerization reactions as a single monomer or with other P moieties of an additional P-X-R. They may also bind either to reactive centers on the base permeation layer (i.e., ‘A’ moieties), or to R moieties of

functional groups previously incorporated onto the permeation layer. In this case, the P moiety of the functional group being attached to the permeation layer ('A' or R) may either already be incorporated into a polymer but available for attaching to an R or an 'A', or the P moiety may attach while simultaneously participating in a polymerization reaction.

As is the case with binding to R groups discussed above, the polymerization reaction may be carried out in either a *solution* or a *slurry* process, wherein the P moiety may react with an 'A', or an R moiety, and/or a P moiety of an added functional group. In the case where P becomes attached to an 'A' or an R moiety, such reactive centers 'A' or R moieties may comprise either a chemical moiety that does not need to be activated or alternatively one that requires activation. In the case where P is involved in a polymerization with another P moiety, the solution or slurry grafting may be initiated nonspecifically by using a 'polymerization initiator reactive molecule' that is sensitive to heat and/or radiation which can be applied across the entire microarray.

Initiation of polymerization, as well as activation of 'A' and R moieties, may be initiated specifically or nonspecifically as in the case of the R moieties noted above. However, with respect to specific initiation, polymerization may be carried out in several ways. For example, in one embodiment chemical initiators that are sensitive to electronically generated pH changes in the solution overlying the microarray, rather than heat or radiation, may be used. In another embodiment ultraviolet radiation may be used to initiate polymerization wherein the use of 'directed' radiation is required in order that polymerization occur only above the electrodes. In still another embodiment, polymerization may be specifically directed to predetermined locations by grafting the polymerizing P moiety to specifically activated 'A' or R moieties.

The X moiety serves as a linker moiety that normally is not reactive with other chemical moieties or functional groups. In one embodiment, the X moiety serves as a linker, while in another embodiment the X moiety servers as a spacer element, while in still another embodiment, X may be a chemical bond.

As will be understood by one of skill in the art, each of 'A', P, X and R as described below provides for addition of high densities of R moieties that are available

for binding derivatized biomolecules, thereby increasing the specificity and sensitivity of the electronically addressable microarray.

As is understandable to one skilled in permeation layer art, permeation layers of electronically addressable microchips may comprise any number of molecular structures. In preferred embodiments, such layers generally comprise a base permeation layer comprising a porous material. Such materials suitable for use in the present invention includes such materials as agarose, chitosan, polymers formed from acrylamide, methacrylamide, polyethylene glycols, vinyl pyrrolidone, and sol-gels. Base permeation layers made of such materials provide several benefits to electronic microarrays. For example, they provide insulation between the electrode and the overlying solution. They also provide a porous matrix suitable for allowing ion exchange between the electrode surface and the overlying solution. They also provide a backbone having reactive centers 'A' that may be used for binding chemical moieties (e.g. a functional group) for attaching various molecules of interest such as proteins and nucleic acids; inorganic materials, such as sol-gels, may also be used to form the base permeation layers as described in copending application serial number 09/354,931 filed July 15, 1999 herein incorporated by reference. These porous glasses may be reacted with silane coupling agents to introduce 'A' and R moieties.

With respect to the current invention, in one embodiment, reactive centers, designated 'A' moieties, of the base permeation layer matrix are provided for attaching molecules of interest, such as functional molecules having the formula P-X-R. The 'A' moieties may be designed so that they will react with and attach to the functional molecules directly, or may be designed so that they must be activated prior to participation in further deposition chemistry. In one preferred embodiment, the 'A' moieties may be nonspecifically activated so that any activated 'A' moiety on the array may participate in deposition chemistry. In another preferred embodiment, the 'A' moieties may be specifically activated at predetermined sites (i.e., capture sites) by providing an electronic potential to the underlying microelectrodes located under the permeation layer matrix so that deposition chemistry will only occur at those specifically activated sites. In both specific and nonspecific activation of the 'A' moieties, it is contemplated that a solution overlying the permeation layer is made to

experience a change in pH conditions (across the entire array in the case of nonspecific and only at capture sites in the case of specific activation) favorable to activation of the 'A' moieties. In the case of nonspecific activation, the pH change is brought about by exchanging solution content while in the case of specific activation, the pH change is brought about by the electronic potential provided at the capture sites.

In another embodiment, the 'A' moieties may be reacted with functional groups having the formula P-X-R during formation of the base permeation layer wherein after formation the permeation layer has derivatized thereon instead of 'A' moieties available for further deposition chemistry, P-X-R moieties. In this embodiment, the P moiety is attached to the permeation layer base and the R moiety is available for further deposition chemistry. In one aspect of this embodiment, the R moiety may be designed for reaction directly with either a derivatized biomolecule or additional functional groups. In another aspect of this embodiment, the R moiety may be designed to require that it be activated prior to participating in further deposition chemistry of the biomolecules and functional groups. In one instance, as described below, the derivative moiety attached to the biomolecule may be considered a P-X-R functional group. As with the 'A' moieties, in the case where activation is necessary, activation may be initiated either specifically or nonspecifically.

In another embodiment, deposition chemistry involves the solution or slurry grafting of functional groups having the formula P-X-R, in either a previously polymerized state or in a polymerization reaction, to either 'A' or R moieties located on the permeation layer. Whether the added groups are previously polymerized or are polymerized during the grafting procedure, the grafting occurs between a P moiety of a functional group being added and either an 'A' or R group. As stated above, the binding between 'A' or R and P may require activation of the 'A' or R depending upon their respective chemical makeup. Where activation is required, such activation may be initiated either specifically or nonspecifically. Nonspecific activation may be carried out by a pH change, either high or low, in a solution overlying the microarray. Specific activation may be initiated by biasing the electrodes of specific capture sites to induce a pH change at those sites.

Coincidental to activation of 'A' or R, if necessary, or where no activation is necessary, grafting of the functional groups to the 'A' and/or R moieties may be initiated using either of the slurry or the solution methods of the invention. Since the 'A' or R groups may require activation, the grafting may be carried out in either a specific or a nonspecific manner. Additionally, the grafting may comprise grafting of a previously polymerized P-X-R matrix, or grafting at the same time the functional group is being polymerized. Where polymerization is to occur during grafting, a chemical polymerization initiator that is activated by heat or radiation is preferred. This allows the versatility in the grafting process to be carried out in a specific manner. In other words, use of an 'A' or R that requires activation may be specifically activated to react with polymerizable functional groups in a slurry or solution graft reaction to graft functional groups at specific locations on the array.

In the slurry grafting method, the functional groups are layered over the array in a concentrated form with an initiator molecule. Under appropriate reaction conditions, the initiator and P moieties of the functional molecules react to form a polymer of the functional molecule bonded to the permeation base layer. In the solution grafting method, the initiator molecule and the functional molecule are layered over the array in a soluble mixture. In either case, high density grafting of functional groups occurs providing high density biomolecule attachment sites. The grafting may be carried out either specifically, using electronic biasing for polymerization concentrated at capture sites, or nonspecifically across the entire array.

In another embodiment, the invention contemplates the attachment of derivatized biomolecules to the microarray. As stated previously, the attachment of such a molecule is through an R moiety. In either case, attachment may comprise either a covalent or a noncovalent bond depending upon the chemistry used. Since the functional group is generally contemplated to be added in multiples, the capacity for attaching biomolecules to the array is dramatically increased to a very high density.

In yet another embodiment, the 'A' or R of the permeation layer comprise thioester moieties that are pH labile (high or low pH). In this embodiment, the specific electronically addressable capture sites may be positively or negatively biased to

activate the thioesters at predetermined capture sites to allow binding of either P-X-R functional groups or derivatized biomolecules.

With respect to the 'A' moiety on the permeation layer backbone, generally, 'A' comprises a reactive center that can be used to bind additional functional groups. This may comprise either a carbon atom in the polymer backbone that is sensitive to react in a free radical reaction, or a chemical moiety attached to the polymer backbone, such as tertiary carbons that will react either directly, or following sensitization (i.e., activation), become activated wherein activation generally comprises the formation of a species that will participate in a further reaction with a P moiety. Included in the definition of 'A' are the well known bioconjugate pairs (*ibid*, Hermanson) which are used to couple biomolecules together as would be recognized by one skilled in the art.

In yet another embodiment, the 'A' or R of the permeation layer comprise acetal moieties that may be hydrolyzed using an acidic solution or electronic biasing to yield aldehyde functionality for attachment of derivatized biomolecules or P-X-R functional groups at specified capture sites. In a preferred embodiment of this aspect, the capture sites are electronically biased such that water in solution is oxidized to generate a low pH for the acidic hydrolysis of acetal groups to give aldehyde groups that may be used to react with the derivatized biomolecules or functional groups.

In still another preferred embodiment, grafted polymerized functional groups overcome prior problems associated with the permeation layer swelling. In still another embodiment, the use of polymerized functional groups, whether bonded using electronic or nonelectronic activation of reactive moieties allows for decreased background fluorescence as compared with nonpolymerization techniques. The methods of the invention further contemplate use of other grafting methods including plasma grafting, wherein a gas is exposed to an electrical discharge at low pressure. The ionized gas then initiated grafting and subsequent polymerization of a monomer onto an existing polymer. (for further details see Odian, G. *Principles of Polymerization* 3<sup>rd</sup> ed. Copyright 1991, by John Wiley and Sons, New York, NY; p. 232 and references therein herein incorporated by reference).

In yet another embodiment, the methods of the invention provide for attachment of high biomolecule densities on the array.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figures **1A** and **B** show results of probe attachment density following attachment of maleimide on the array surface. Fig. **1A** is a photomicrograph wherein columns 2 and 4 are duplicates for specific binding while columns 3 and 5 are duplicates for nonspecific binding. Column 1 is a zero binding control in which no labeled probe was addressed. Fig. **1B** is a bar graph showing the relative difference between specific and nonspecific binding in MFI/s. This level corresponds to a density of binding of  $1 \times 10^7$ .

Figure **2** is a bar graph showing results of an experiment wherein a base permeation layer (30% 9:1 acrylamide/*N*, *N'*-methylene bisacrylamide ratio) with bromo-acetopropyl methacrylamide attachment layer was addressed with probe. The probe oligos were present at 500 nM,  $\beta$ -alanine was present at 50 mM. Address time was 30 seconds and the arrays were washed with 0.2X STE and 1% SDS prior to detection.

Figures **3A** and **B** show results of probe attachment density following polymerization of acrylate ester with a tethered amine, or an amine ester. Fig. **3A** is a photomicrograph wherein columns 1 and 3 are duplicates of specific binding while columns 2 and 4 are duplicates of nonspecific binding. Fig. **3B** shows the relative intensities of specific and nonspecific binding. The intensities increase from rows 1 to 5 due to a light intensity gradient increasing from row 1 to row 5.

Figure **4** is a bar graph showing results of grafting experiments using a slurry graft technique. The graph lists densities of probes/pad versus use of various combinations of attachment layer compounds.

Figure **5** is a bar graph showing passive attachment of probes following a plasma graft method employing various plasma graft conditions.

Figure **6** is a bar graph showing electronic attachment of probes following a plasma graft method. The graph depicts probe binding levels under various addressing conditions.



Figure 7 is a schematic showing that thioester cleavage by either high or low pH will yield an activated thiol group that can in turn be bonded to a chemical moiety for attaching a biomolecule or bonding of a chemical moiety for attaching a polymer.

Figure 8 is a bar graph showing detection or lack thereof of labeled DNA oligomer at the capture sites following electronically generated pH change to assist functional group attachment. The graph depicts results of attachment using three different buffers and biasing at 200, 400, and 600 nA for 1 minute. Buffers used were 0.1M NaPO<sub>4</sub>/triethylamine, pH 6.8; morpholino ethane sulfonic acid; and sodium acetate.

Figure 9 is a schematic showing the specific activation of thioesters at capture sites (microlocations) followed by specific binding of biomolecules only at such capture sites and capping of unreacted groups at noncapture site locations. In this figure, the deposition chemistry has included use of both nonelectronic deposition and specific electronic biasing to achieve attachment of high density biomolecule attachment.

Figure 10 shows three reactive sequences for different attachment schemes employing acetal reactive centers.

Figure 11 is a bar graph showing results from an embodiment wherein specific capture sites containing acetal groups were activated (Scheme 1 of Figure 10).

Figure 12 is a bar graph showing results from an embodiment wherein specific capture sites were activated (Scheme 2 of Figure 10).

Figures 13 to 16 are photomicrographs showing various results of specific activation and binding of labeled probes (Scheme 3 of Figure 10). Figure 13 specifically shows passive attachment onto pads which were electronically deprotected. Figure 14 displays the required electronic conditions for sufficient deprotection of the acetal to an aldehyde. Figures 15 and 16 show specific and nonspecific attachment of labeled capture molecules onto a deprotected acetal moiety.

Figure 17 A and B show results of probe attachment density following solution grafting of a SHA methacrylamide derivative. Figure 17A is a photomicrograph wherein column 3 is specific binding, while columns 1 and 5 are duplicates of nonspecific binding. Fig. 17B shows the relative intensities of specific and nonspecific binding.

Figure 18 shows examples of P, X, and R moieties. These may be mixed and matched in any combination.

Figures 19 and 20 show schematic diagrams of various permutations of adding P-X-R groups to permeation layers. Fig. 20 shows more detail of chemical structure than Fig. 19.

Figure 21 shows one form of attachment chemistry of a functional group of the formula P-X-R wherein methacrylamide is P, X is a chemical bond, and R is SHA. The SHA moiety attaches to PBA labeled oligomer biomolecule.

Figure 22A shows a schematic for slurry grafting wherein the initiator AIBN precipitates from solution onto the base layer while the functional group comprising a methacrylamide monomer co-precipitates and also remains in part in solution above the surface. Either heat and or UV radiation are employed to react AIBN forming reactive free radicals. The free radical scavenges hydrogen from the base permeation layer matrix providing an attachment site for polymer.

Figure 22B shows details of the chemical mechanism for the process shown in Fig. 22A.

Figure 23 shows an embodiment of the invention wherein a nucleophilic hydrazide modified base permeation layer is exposed to an acryloyl-NHS ester, a strong electrophile. Upon reaction, the acryloyl group will be fixed to the permeation layer and polymerized.

Figure 24 A and B show results of probe attachment density following attachment of SHA via a bromoacetamide linkage as a method described in the prior art. Figure 24A is a photomicrograph wherein columns 1 and 3 are specific binding, while columns 2 and 4 are nonspecific binding. Column 5 was not addressed. Fig. 24B shows the relative intensities of specific and non specific binding.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

According to the embodiments of the current invention, permeation layer coated electronic microarrays and methods of making such microarrays are provided wherein the permeation layers comprise functional groups for attaching high densities of derivatized biomolecules. With respect to the making of such arrays, functional groups

comprise chemical moieties having a simple but versatile formula that allows the groups to be incorporated on the permeation layer in several ways.

The functional groups have the formula:

5



wherein,

**P** comprises a chemical moiety the design of which may vary depending upon the nature of the moiety with which it is desired to react. Regardless of the moiety with which **P** is desired to react, the **P** moiety always includes a reactive center that may participate in bonding to another **P** reactive center in a polymerization reaction, and/or bond to a reactive center designated 'A' of the permeation layer matrix, and/or bond to an **R** moiety of another functional group. Where the **P** moiety is intended to bond to another **P** moiety reactive center or intended to bond to a reactive center 'A', **P** is selected from the group consisting of alkenyl moieties including but not limited to substituted or unsubstituted  $\alpha,\beta$ ,unsaturated carbonyls wherein the double bond is directly attached to a carbon which is double bonded to an oxygen and single bonded to another oxygen, nitrogen, sulfur, halogen, or carbon; vinyl, wherein the double bond is singly bonded to an oxygen, nitrogen, halogen, phosphorus or sulfur; allyl, wherein the double bond is singly bonded to a carbon which is bonded to an oxygen, nitrogen, halogen, phosphorus or sulfur; homoallyl, wherein the double bond is singly bonded to a carbon which is singly bonded to another carbon which is then singly bonded to an oxygen, nitrogen, halogen, phosphorus or sulfur; alkynyl moieties wherein a triple bond exists between two carbon atoms. Where the **P** moiety is intended to bond to an **R** moiety, **P** is selected from the group consisting of a substituted or unsubstituted  $\alpha,\beta$ ,unsaturated carbonyls, vinyl, allyl and homoallyl groups and alkynes. Within this embodiment, **P** can also be selected from the group consisting of acetal, epoxide, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines; as well as those listed in Hermanson (*ibid*, Hermanson). Depending upon which of the **P** moieties are chosen and the reaction conditions used, the reactive center of **P** may become reactive to participate in a polymerization and/or coupling reaction. As discussed in the examples

below, polymerization conditions can include use of a slurry grafting method or a solution grafting method. In a preferred embodiment, the P moiety is designed to participate in a polymerization reaction and become bonded to either an 'A' or an R moiety that has already been incorporated into the permeation matrix either due to the nature of the matrix backbone itself (in the case of 'A') or due to functional groups being either copolymerized into the layer during fabrication or prior grafting (in the case of an R).

**X** is a moiety selected from the group consisting of a chemical bond, an alkyl of 1-10 carbon atoms, an alkenyl of 2-10 carbon atoms, alkyl esters, ketones, amides, thioesters, alkyl ethers, amido groups, carbonyls, and/or any combinations thereof.

As used herein, alkyl denotes straight-chain and branched hydrocarbon moieties such as methyl, ethyl, propyl, isopropyl, tert-butyl, isobutyl, sec-butyl, neopentyl, tert-pentyl and the like. Such alkyls may be substituted with a variety of substituents including but not limited to hydroxy, oxo, amino, thio, cyano, nitro, sulfo and the like.

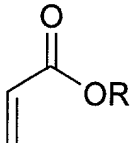
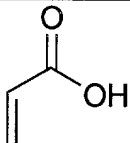
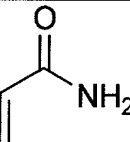
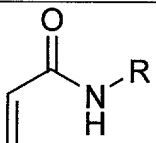
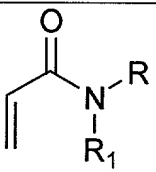
Alkenyl denotes a hydrocarbon wherein one or more of the carbon-carbon bonds are double bonds and the non-double bonded carbons are alkyl or substituted alkyl. Alkenyl hydrocarbons groups may be straight-chain or contain one or more branches. Amino refers to moieties including a nitrogen atom bonded to 2 hydrogen atoms, alkyl moieties and combination thereof. Amido refers to moieties including a carbon atom double bonded to an oxygen atom and single bonded to an amino moiety.

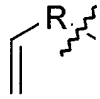
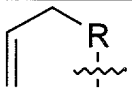
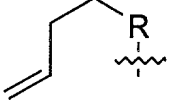
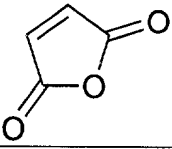

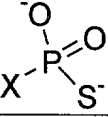
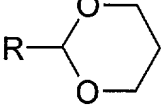

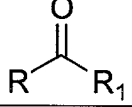
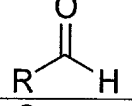
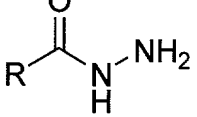
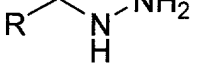
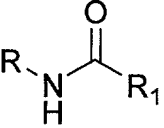
**R** is a chemical moiety for attaching, either covalently or non-covalently, a biomolecule or for bonding to **P-X-R** groups. Where R is intended to be bonded to P-X-R functional groups, R may be selected from the group consisting of a chemical bond, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines; as well as those listed in Hermanson (*ibid*, Hermanson). Where R is intended to be attached to a derivatized biomolecule, R may be selected from the group consisting of a chemical bond, streptavidin, a portion of streptavidin, biotin, phenyl boronic acid, salicylic hydroxamic acid, disulfide, thioester, thiol, phosphorothiolate monoester, hydrazide, hydrazine, amine, acetal, ketone, aldehyde, dialdehyde, bromo- or iodo- acetamide, and esters as well as those listed in Hermanson

(Hermanson *ibid.*). Whether R is to be attached to a biomolecule or to a functional group, depending upon the specific moiety for R chosen, the R moiety may either react directly or first require activation before attachment can occur.

- With respect to the 'A' moiety on the permeation layer polymer backbone,
- 5 generally, 'A' comprises a reactive center comprising either a carbon atom in the polymer backbone that is sensitive to react in a free radical reaction, or comprises a chemical moiety attached to the polymer backbone, such as tertiary carbons or acetals, that will react either directly, or following sensitization (i.e., activation), become activated wherein activation generally comprises the formation of a species that will
- 10 participate in a further reaction with a P moiety. For each of P, X, and R, examples are provided in Figure 18 and Table I.

Table I: A Partial List of Functional Moieties Included in Attachment Schemes.

Structure	Functional Group or Chemical Name	Category
	Acrylate ester	$\alpha,\beta$ -Unsaturated carbonyl or Ester
	Acrylic acid	$\alpha,\beta$ -Unsaturated carbonyl or Acid
	Acrylamide	$\alpha,\beta$ -Unsaturated carbonyl or Amide
	Monosubstituted acrylamide	$\alpha,\beta$ -Unsaturated carbonyl or Amide
	Disubstituted acrylamide	$\alpha,\beta$ -Unsaturated carbonyl or Amide

 $R = C, N, O, P, S$	Vinyl	Alkene
 $R = C, N, O, P, S$	Allyl	Alkene
 $R = C, N, O, P, S$	Homoallyl	Alkene
	Cyclic anhydride	$\alpha,\beta$ -Unsaturated carbonyl
	Thiol	Thiol
	Phosphorothiolate monoester	Phosphorothiolate
	Acetal monomer	Acetal
	Acetal dimer	Acetal
	Ketone	Carbonyl
	Aldehyde	Carbonyl
	Hydrazide	Hydrazide
	Hydrazine	Hydrazine
	Amide	Amide

	Primary amine	Amine
	Substituted amine	Amine
	Ester	Ester
	Bromo- or Iodo-acetamide	Haloacetamide
	Thioester	Thioester
	disulfide	Disulfide
	(sulfonated)- <i>N</i> -Hydroxy succinimidyl ester	Ester
	Ether	Ether
	Alkyl chain of seven carbons	Alkyl Chain
	Epoxide	Epoxide

The chemistry of attaching functional groups and derivatized biomolecules can vary depending upon the nature of each moiety chosen. In some cases, in order for an 'A' or R to react and participate in bonding to a P or a derivatized biomolecule, only direct contact with the moiety to which bonding is to occur need take place. For example, if 'A' or R comprises an ester, the derivatized biomolecule only need include a hydrazide moiety. Moieties not requiring activation for bonding include aldehyde, ketone, amine, hydrazine, hydrazide, haloacetamide, thiol, phosphorothiolate monoester, and ester. Each of these may be paired for reaction in the following combinations shown in Table II.

Table II

<b>'A' or R constituent</b>	<b>Moiety associated with P or derivative of biomolecule</b>
Aldehyde, ketone, ester	Amine, hydrazide, hydrazine
Iodo or bromoacetamides	Thiols phosphorothiolate monoester,
Salicylic hydroxamic acid	Phenyl boronic acid
Streptavidin	Biotin

- 5 In other cases, 'A', R, P, or the derivatized portion of the biomolecule must be activated for bonding or attachment to occur. In this case chemical moieties may comprise any of disulfide, thioester, tertiary carbon, alkene, alkyl ether, acetal, and carboxylic acid. Such moieties requiring activation may be paired as shown in Table III.

10 Table III

<b>'A' or R constituent</b>	<b>Moiety associated with P or derivative of biomolecule</b>
Acetal	Amine, hydrazide, hydrazine
Thioester, disulfide	Halo-acetamide
Alkene	Alkene, tertiary alkane
Tertiary carbon	Alkene
Alkyl ether	Alkene
Carboxylic acid	Amines, hydrazides, hydrazine
Epoxide	Alcohol

- 15 Whether attachment is carried out using moieties requiring prior activation (whether 'A' R, P or a derivatized biomolecule) or not, the attachment may comprise either covalent or noncovalent bonding. Where no activation is required for attachment of either functional groups or derivatized molecules, reactive moieties present on the



permeation layer of the entire microarray are subject to participation in the attachment reaction. This allows the incorporation of high densities of R moieties of functional groups for further attachment of biomolecules onto any portion of the array.

Where activation is required for attachment to occur, the moieties may be either specifically or nonspecifically activated. Nonspecific activation, generally allows activation of moieties requiring activation present on the permeation layer of the entire microarray. Specific activation on the other hand provides for the activation of only selected moieties requiring activation present on the array. In a preferred embodiment, specific activation is carried out by applying an electronic potential (either positive or negative) to preselected electrodes of the array so that the charge generated will directly influence the pH of a solution, such as a solution overlying the microarray, directly above the electrode. In this manner, the moieties requiring activation (A or R) undergo a chemical transformation and become available for attachment to a moiety, e.g., a reactive center of a P, an R, or to a derivatized biomolecule. This specific activation provides a high degree of versatility to the invention in that specific capture sites of the array may be provided high densities of binding capacity for biomolecules on an “on-demand” basis.

Attachment chemistry of the invention further comprises novel grafting methods wherein functional groups are incorporated onto the permeation layer using the various attachment chemistries noted above in conjunction with such grafting methods. In one embodiment, grafting is carried out in a *slurry* method. In another embodiment, grafting is carried out in a *solution* method. Whether by slurry or solution, the functional groups may be incorporated across the entire array or only at preselected locations. For embodiments which contemplate attachment across the entire array, generally, ‘A’ and/or R moieties may or may may not require prior activation. For embodiments which contemplate attachment only at preselected locations, generally, ‘A’ and/or R moieties require activation prior to attachment.

Attachment chemistry used in the grafting methods of the invention further contemplates employing the use of either previously polymerized functional groups or the use of reaction conditions that allow for the polymerization of the functional groups during attachment. In either case grafting implies that the functional groups are

attached to a preformed base permeation layer having either 'A' or R moieties wherein the 'A' moieties are reactive centers of the permeation layer and the R moieties are present either from 'copolymerization' of individual P-X-R functional groups, or are present from the prior grafting of functional groups either in the form of individual P-X-R groups, previously polymerized P-X-R groups, or P-X-R groups polymerized simultaneously with grafting.

The attachment of functional groups during formation of the permeation layer onto the microarray by polymerizing a group of monomers with different chemical structures is designated 'copolymerization'. In this embodiment a reactive center of P becomes attached to a reactive center of the permeation layer polymer during formation of the permeation layer onto the microarray. The reactive P may either be already incorporated into a polymerized functional group or may participate in simultaneous polymerization of the functional group as it is attached to the permeation layer matrix. Generally, attachment via copolymerization uses nonspecific attachment chemistry and the functional groups which are incorporated into the permeation layer are attached throughout the entire array.

Attachment of functional groups onto a preformed permeation layer is designated 'grafting'. In one preferred embodiment, grafting is carried out using slurry grafting. In this method, functional groups having the formula P-X-R are contacted with a permeation layer in a concentrated slurry with an initiator molecule that upon being induced to react initiates both (1) bonding between reactive centers of the permeation layer (i.e., either 'A' or an R) and reactive centers of the P moiety, and (2) polymerization of the functional group through a reactive center on the P moiety. Where the functional group has been previously polymerized, the grafting process primarily only concerns the attachment of the previously polymerized groups to the permeation layer. Details of the slurry grafting method are provided in Example 2 below. In a preferred embodiment of this method, the functional groups and initiator are only partially in solution.

In another preferred embodiment, grafting may be carried out using solution grafting. In this method, functional groups having the formula P-X-R are contacted with a permeation layer in a solution with an initiator molecule that upon being induced to

react initiates both (1) bonding between reactive centers of the permeation layer (i.e., either 'A' or an R) and reactive centers of the P moiety, and (2) polymerization of the functional group through a reactive center on the P moiety. Where the functional group has been previously polymerized, the grafting process primarily only concerns the attachment of the previously polymerized groups to the permeation layer. Details of the solution grafting method are provided in Example 3 below. In a preferred embodiment of this method, the functional groups and initiator are completely dissolved in solution.

Whether slurry or solution grafting is used, the method of the invention allows the versatility of carrying out the grafting in either a nonspecific or a specific format.

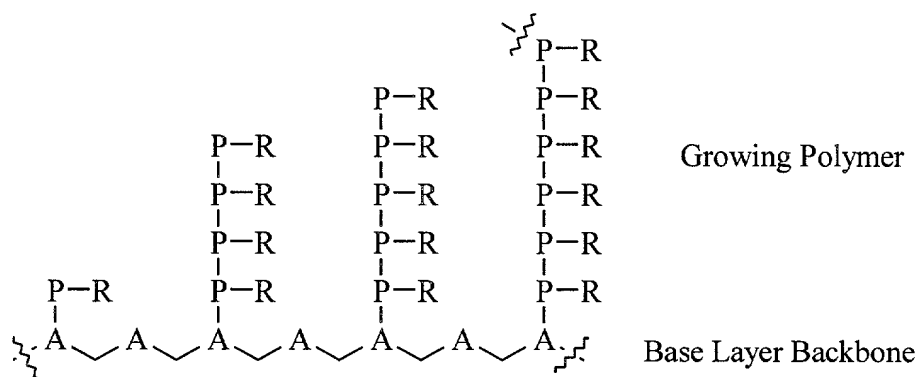
Where a nonspecific format is used, the 'A', and/or R moieties may comprise those that require activation. For those moieties that require activation, grafting can be applied across the entire array by altering pH conditions of the overlying solution. Where specific activation is contemplated during grafting, grafting may be directed to occur only above the electrodes of the microarray by using 'A' and/or R moieties that require activation in conjunction with use of the initiator and functional groups. Figures 19 and 20 show various configurations of bonding multiples of R moieties for attaching biomolecules. Fig. 20 is more detailed in that it shows chemical structures of the permeation layer. Fig. 19 shows various permutations of added P-X-R groups. In "A", P-X-R groups have been polymerized in a grafting reaction to a permeation layer. The grafting may be either nonspecific or specific. The diagram depicts specific in that some reactive centers of the permeation layer may be kept from grafting. Once such grafting has occurred, derivatized biomolecules may be attached directly without activation, if an R moiety that does not require activation is present, or the R moiety may be further activated for attaching biomolecules in either a nonspecific or specific mode as indicated in "C" and "D", where an R moiety requiring activation is present. In "B", P-X-R groups are indicated as being copolymerized into the permeation layer individually after which they may be directly attached to derivatized biomolecules as stated above, or activated, assuming the appropriate R moiety is present, in a nonspecific or specific manner for further attachment of either additional P-X-R groups or derivatized biomolecules.

Attachment of the grafted monomer to achieve covalent linkage may be initiated by any number of methods. For example, grafting may use thermal decomposition of initiators (*e.g.* AIBN, benzoyl peroxide), photolytic cleavage of initiators (*e.g.* UV initiation of a mixture of 50% 2,4,6-trimethylbenzoyl-diphenyl-phosphineoxide and 50% 2-hydroxy-2-methyl-1-phenyl-propan-1-one (Daracur 4265, Ciba -Speciality Chemicals C; Tarrytown New York) (hereinafter referred to as D 4265), redox reactions (*e.g.* cerium (IV) sulphate), ionizing radiation (*e.g.*  $\alpha$ ,  $\beta$ ,  $\gamma$  or X-rays), plasma initiation (*e.g.* Argon, Nitrogen, Oxygen), or electrolytic initiation using tetrabutylammonium perchlorate in which the grafting occurs only over a preselected site using an electric current (Samal, S. K.; Nayak, B. *J. Polym. Sci. Polym. Chem. Ed.* **1988**, *21*, 1035, herein incorporated by reference). Moreover, the grafting process may also be specifically directed to predetermined locations of the array by using chemical moieties that must be activated prior to reaction.

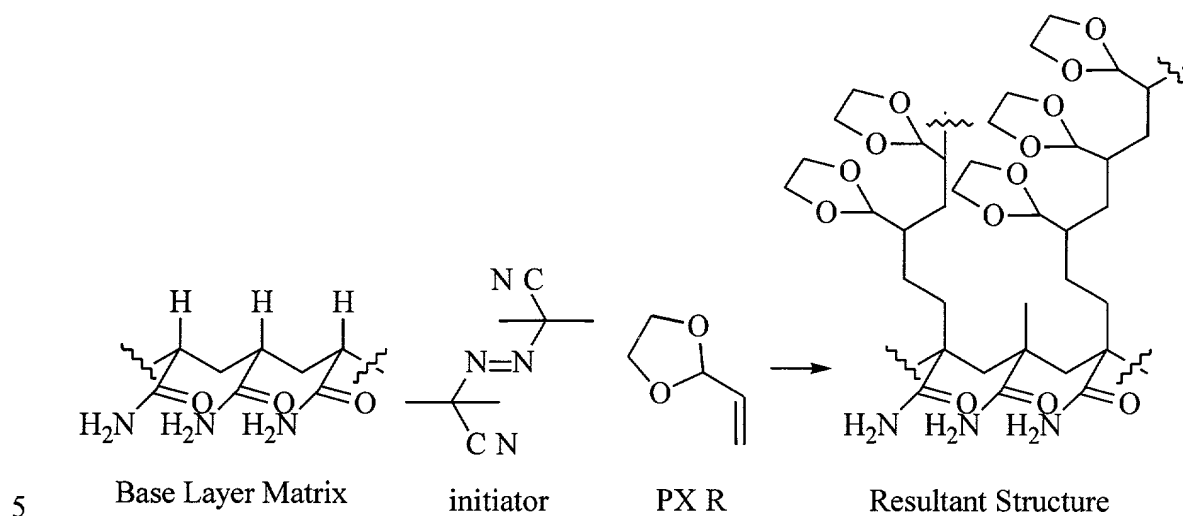
In yet another embodiment, specificity of attachment may occur by employing properties of the initiator used to initiate polymerization and grafting or by electrochemical activation. Generally, the initiator is induced to become reactive by contact with either heat or radiation. In this embodiment, whether also in conjunction with 'A' or R moieties that require activation, grafting using either slurry or solution methods may be specifically directed to predetermined locations on the array by masking contact of the radiation to only preselected locations of the array. Another method of specific activation is to bias electrodes under conditions which generate free radicals in electrochemically designed initiators.

To further clarify aspects of the invention, the following descriptions of various aspects of attachment chemistry are detailed below.

In one embodiment, the functional group **P-X-R** in a polymerization grafting reaction is attached to 'A' of the permeation layer. This can be diagramed as follows wherein **X** is a chemical bond:

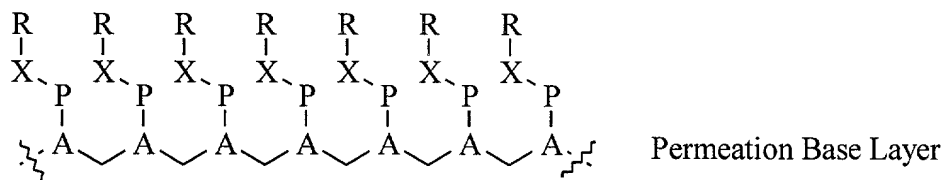


An example of a chemical reaction of this diagram is:



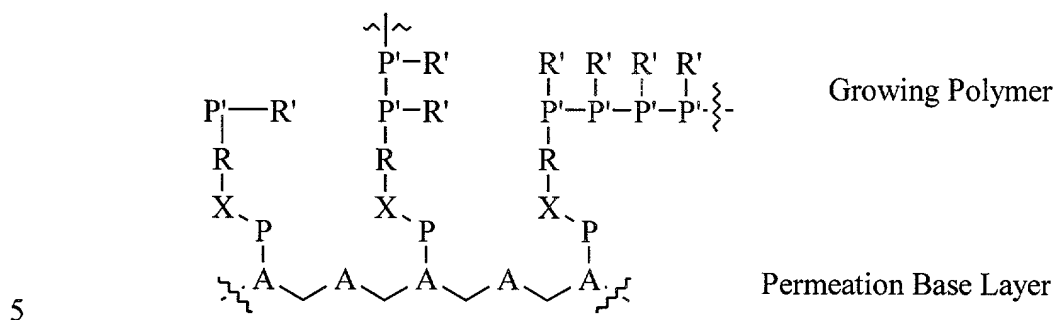
In another embodiment, functional groups are copolymerized or chemically linked into the permeation layer matrix through an 'A' moiety and are available for further polymerization grafting through the R moiety. In the diagram shown X is not a simple chemical bond.

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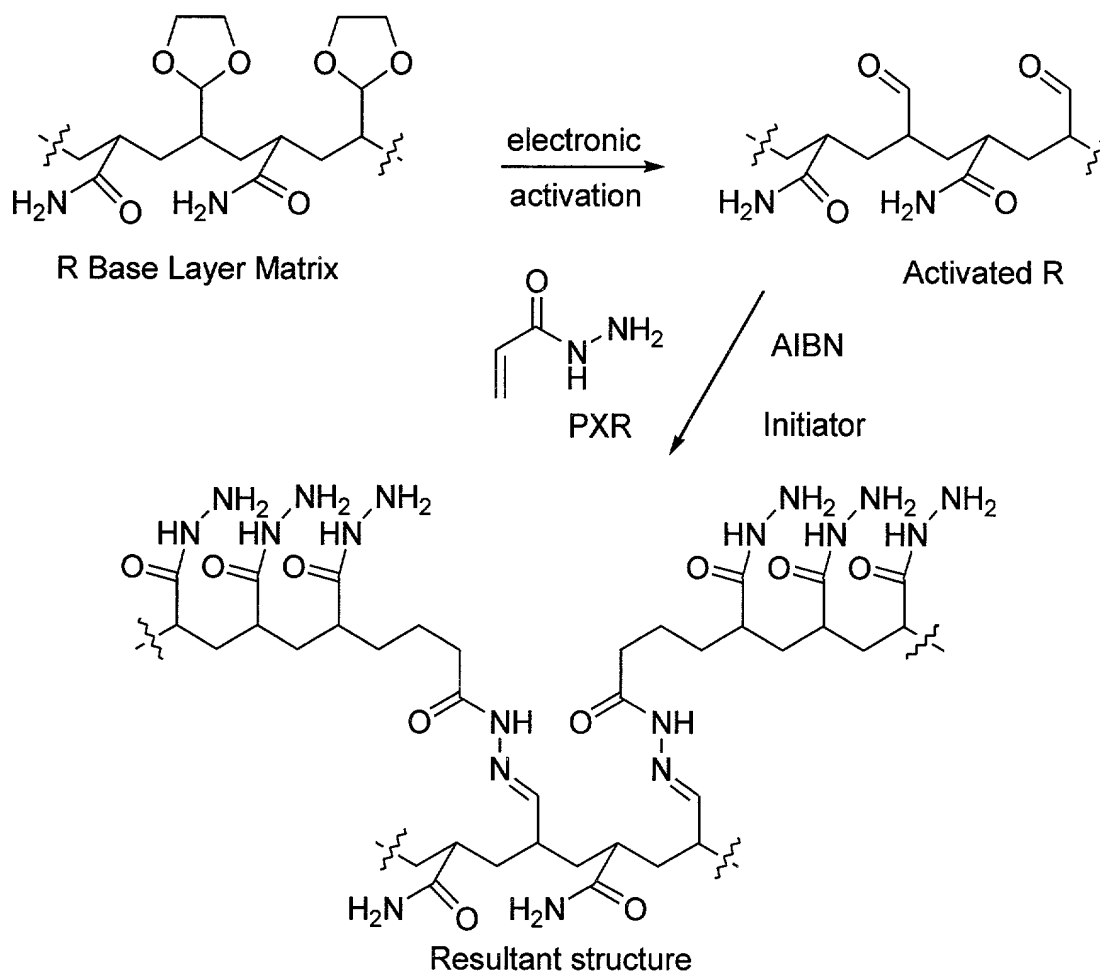


In a further embodiment, additional P'-X'-R' groups may polymerize onto an R moiety (whether or not R requires activation) of the functional group that was

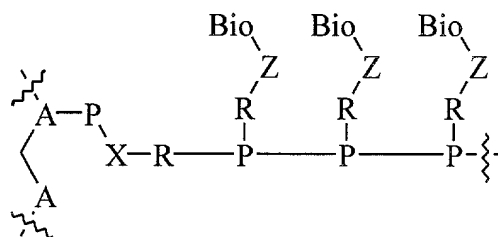
previously bound (either during copolymerization or grafting) to the permeation layer. Here the result can be diagramed as follows wherein X' in the polymerizing functional group is a chemical bond:



An example of a chemical reaction for the above diagram wherein R comprises a moiety that must first be activated is:



Polymeric expansion may continue for many additions forming long chains of polymer containing large numbers of **R** moieties available for binding biomolecules, designated Z-bio in the following diagram:

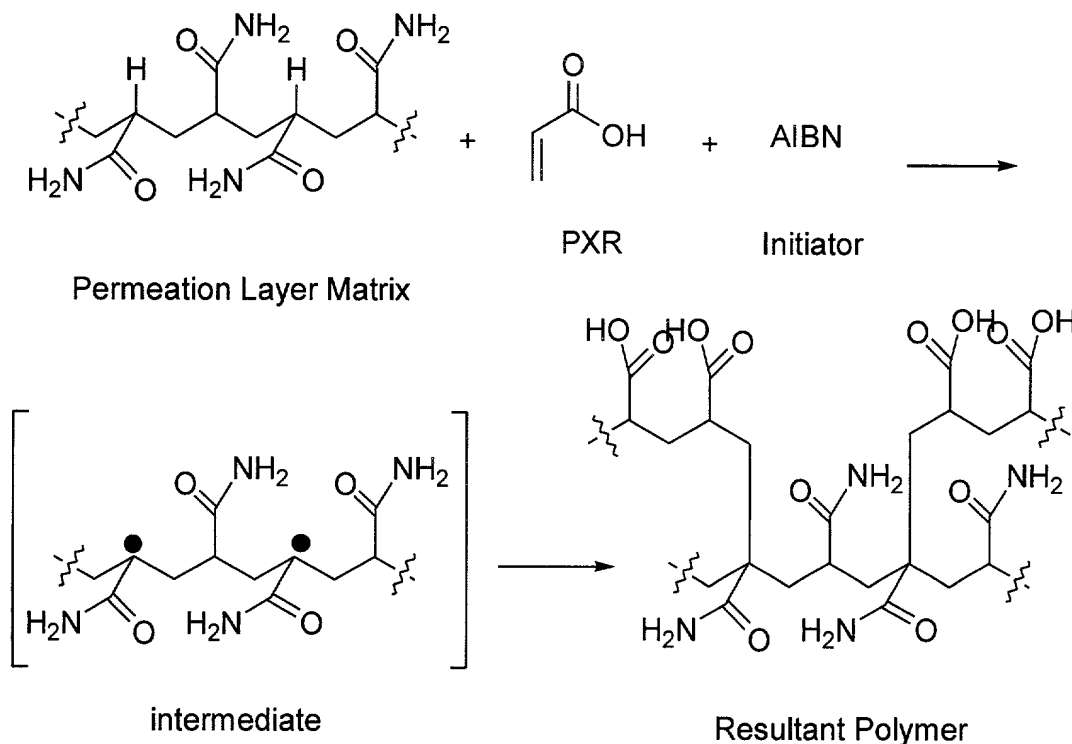


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In a preferred embodiment, **Z**, the derivative attached to the biomolecule is preferably selected from the group consisting of a chemical bond, streptavidin, biotin, phenyl boronic acid, salicylic hydroxamic acid, thiol, phosphorothiolate monoester, hydrazide, hydrazine, amine, ketone, aldehyde, dialdehyde, bromo- or iodo- acetamide, and esters as well as those derivatives listed in Hermanson (Hermanson *ibid.*). In one embodiment, the derivatized moiety may attach to several **R** moieties. Such a situation is diagramed in Figure 21 wherein PBA moieties attach to SHA moieties individually comprising **R** moieties.

10

An example of polymerization during grafting can be diagramed as follows:



15

Wherein **P** is the reactive double bond moiety of the monomer, **X** is a chemical bond between the alkene and carbonyl carbon, and **R** is the carboxy group used to attach to a biomolecule. The initiator, when heated above 70 °C, extrudes nitrogen gas generating  
 5 two radical species which abstract hydrogen from the permeation layer. This radical backbone then reacts with the double bond of the monomer to form a covalent bond between the backbone and the monomer and also generates a tertiary radical within the newly bonded monomer. This radical can continue the polymerization reaction resulting in a polymeric backbone.

10 The reaction described above may be initiated either nonelectronically, wherein the polymer is spread over the surface of the permeation layer, or electronically using an initiator which is activated from biasing of the electrodes resulting in high density specific placement of the polymer at specified capture sites of the array. When the reaction is carried out in the presence of a base permeation layer containing reactive  
 15 moieties that can participate as sites of attachment for the growing polymer in the polymerization reaction, the result is an array that comprises a growing or polymerizing mass of polymer chains on the surface of the array either across the entire array or at specified locations. Figures **22A** and **B** and **23** are diagrams representing grafting polymerization using either an acrylamide P-X-R group (Fig. **22B**) or an acrylic-NHS ester (Fig. **23**). Figure **22B** shows a more detailed mechanism for what is depicted in  
 20 figure **22A**. In either case, as depicted, a polymerizing mass is formed on the surface of the permeation layer. As with other embodiments of the invention, this growing polymerizing reaction can be nonspecifically or specifically directed.

In the following examples are disclosed various experiments wherein functional  
 25 groups are added to the permeation layer of an electronically addressable microarray. In Example 1 is shown attachment chemistry of prior art wherein biomolecule attachment levels are limited with respect to that of the current invention. This example also shows that attachment moieties of the current invention employed as P-X-R groups provide attachment of biomolecules to levels at least equivalent to prior  
 30 attachment chemistry methods. Example 2 shows three attachment schemes wherein the grafting method developed for the current invention involves a “slurry” method.



Example 3 shows solution grafting for the attachment of functional groups to the permeation layer. Example 4 provides experiments proving the utility of a “plasma graft” method. Example 5 provides three experiments wherein a permeation layer is formed that has reactive centers R which require activation prior to attachment.

- 5 Additionally, the example proves the ability to carry out specific attachment using electronic biasing so bonding of the functional group occurs only at capture sites of the array. Example 6 shows reactive centers R which comprise a moiety that must be activated prior to attachment of derivatized biomolecules.

#### 10 Example 1

Attachment chemistry typically used in the prior art is limited by the number of available reactive sites on the substrate surface. For every reactive site, only one moiety may be attached for binding a molecular structure that is involved in detection of a target species within a sample. For example, for every reactive moiety on the substrate,

15 only one detection probe may be bound.

In order to show that some of the chemical moieties used in attachment chemistry of the present invention would function at least to the levels of equivalent chemistry known in the prior art, three experiments were carried out wherein chemical groups for attaching biomolecules were placed on a microarray and processed without polymerization. The resulting binding was due only to the individual available sites on

20 the array.

#### a) experiment 1 – Attachment of Cyanomethyl protected salicylic hydroxamic acid pentyl maleimide (SA(OCM)-X-Mal)

- Microarrays that were layered with a base hydrogel permeation layer
- 25 comprising 9:0.5:0.5 acrylamide/methylene bis acrylamide/*N,N'*-bis(acryloyl)cystamine and D 4265 as UV initiator were reacted with a maleimide linker to form an attachment matrix above the permeation layer. Specifically, the arrays were incubated in 10 ml of 20 mM DTT in 0.5 M tris-HCL pH 8.2 under nitrogen for 1 hour to cleave the disulfide bond of *N,N'*-bis(acryloyl)cystamine. The arrays were washed three times with 50 mM
- 30 sodium acetate pH 6.0. Next, a solution of 8.5 mg of SA(OCM)-X-Mal in 2 ml DMF were added to 20 ml sodium acetate pH 6.0 and the arrays were incubated in the acetate

solution for 45 minutes. This was followed by addition of 1 M  $\text{NH}_2\text{OH}$  (in 0.1 M  $\text{NaHCO}_3$ , pH 8.5) and allowed to react for 3 hours followed by extensive washing of the arrays in water.

Binding assays were conducted using electronic addressing of a T12 probe labeled with multiple PBAs, a specific attachment moiety, and a Bodipy Texas Red fluorescent dye ( $\text{PBA}_n\text{-T12-BTR}$ , where  $n$  is a number between 1 and 8) (50 nM in 50 mM histidine buffer at 400 nA/capture site for 2 minutes). T12-BTR was used as a nonspecific control and addressed under the same conditions. As shown in Figure 1A the capture sites (columns 2 and 4) to which the PBA labeled probes were addressed exhibited specific binding. Very low levels of nonspecific binding were observed in columns 3 and 5. Figure 1B shows a bar graph of the average of the results in Fig. 1A. The overall levels of binding shown correlate to a range of  $5 \times 10^7$  molecules per pad. Such levels are comparable to known prior art attachment chemistry.

b) experiment 2 – Attachment of *N*-propyl-bromoacetamide(SA(OCM)-X-BrAc)

Microarrays which contained a base permeation layer as described in experiment 1 immediately above were incubated with 100  $\mu\text{l}$  of 20 mM dithiothreitol (DTT) in 0.5 M tris-HCl pH 8.2 under nitrogen for 1 hour. The arrays were then washed three times with 50 mM sodium acetate, pH 6.0. The microarray was treated with a solution containing 0.40 mL of a solution from a 1.0 mL solution containing 11.0 mg of SA(OCM)-X-BrAc diluted into 5.0 mL Tris-HCl at pH = 8 and incubated for 3 h. The microarray was washed with water and treated with a 1 M solution of  $\text{NH}_2\text{OH}$  in 0.1 M  $\text{NaHCO}_3$ , and again washed with copious amounts of water. Electronic binding of PBA-T12-BTR at 50 nM in 50 mM histidine buffer at 400 nA/pad for 2 minutes. T12-BTR was used as a nonspecific target and addressed under the same conditions. After addressing the chips were subjected to the standard washing protocol.

As shown in Figure 24A the specific capture sites (columns 1 and 3) to which the PBA labeled probes were addressed exhibited specific binding. Very low levels of nonspecific binding were observed in columns 2 and 4. Figure 24A shows a bar graph of the average of the results in Fig. 24A. The overall levels of binding are in the range

of  $5 \times 10^7$  fluorophores/ $5000\mu\text{m}^2$  pad. Such levels are comparable to known prior art attachment chemistry.

c) experiment 3 – Attachment of Cyanomethyl protected salicylic hydroxamic acid NHS ester (SA(OCM)-X-NHS)

Microarrays which contained a base permeation layer as described in experiment 1 above were modified to contain primary amines. The arrays were incubated with 10 ml of 20 mM DTT in 0.5 M tris-HCl pH 8.2 under nitrogen for 1 hour. Next, 8  $\mu\text{l}$  of 0.5 M 3-bromopropylamine were added under nitrogen for 2 hours at 37°C. This was followed by addition of 9  $\mu\text{l}$  of 2 M DTT in 0.5 M tris-HCl and incubated for 30 minutes followed by washing with water. The arrays were then rinsed with dry DMF and then overlayed with 80  $\mu\text{l}$  of 25 mM SAOCM-X-NHS in dry DMF. Triethylamine (8  $\mu\text{l}$ ) was added and the arrays were incubated at room temperature for 2.5 hours. The arrays were then washed 3 times with dry DMF and 3 times with water. Finally, 1M  $\text{NH}_2\text{OH}$  (in 0.1M  $\text{NaHCO}_3$ , pH 8.5) was overlayed for 3 hours followed by washing the chips in water.

Binding assays were conducted by electronic addressing of PBA-T12-BTR (50 nm) in 50 mM histidine buffer using 400 nA/site for 2 minutes. T12-BTR was used as a nonspecific control and addressed under the same conditions. After addressing the probes, the arrays were subjected to washing in STE as described above. Figure 3A shows the attachment results wherein specific binding (columns 1 and 3) were strong while nonspecific binding (columns 2 and 4) was distinctly lower. Figure 3B shows a bar graph of the ratio between specific and nonspecific binding wherein the levels are comparable to typical attachment chemistry.

Thus, from the above experiments, we have shown that chemical attachment moieties may be bound to the reactive centers of the permeation layer of the electronically addressable microarray of the invention. Moreover, such attachment is comparable to existing attachment technology. Since attachment chemistry moieties we desire to attach using the methods of the current invention are functional, we further

tested their attachment using grafting methods and specific and nonspecific activation of the invention.

### Example 2

5           In this experiment, chemical moieties for attaching biomolecules at specific locations on the microarray are grafted onto preformed permeation layers. In one embodiment, the grafting method developed for the current invention involves a “slurry” method. This method achieves a high density of grafted activation moieties (e.g., substituted acrylamide or methacrylamide monomer) onto acrylamide-based  
10   hydrogel permeation layers. In this method, a high concentration of thermally activated initiator is used to obtain grafting onto the preformed permeation layer.

          In a preferred embodiment a slurry is made of polymerization initiator and attachment monomer which are both only slightly soluble at room temperature. The slurry is applied to the base permeation layer of a microarray where the initiator and  
15   particulates of the monomer settle onto the surface of the preformed polymer layer. The temperature is then raised to both activate the initiator and dissolve the particulates which results in a high concentration of initiator radicals and dissolved monomer locally near the surface of the preformed polymer layer. Attachment of the monomer (i.e.,  
20   grafting) and polymerization of the monomer occur simultaneously, resulting in covalent attachment of polymerized functional group to the permeation layer. In this manner, the biomolecule attachment moieties (i.e., R moieties) are segregated mainly to the upper region of the polymer layer and consequently are isolated from any deleterious effects that may be caused by electrolysis products of the underlying electrodes or direct electrochemical oxidation at the electrodes.

25           In comparison to systems which have the attachment sites originating only from the available sites on the substrate layer, the grafted system of the current invention significantly increases the density of sites for attaching biomolecules and consequently allows for a decreased background due to nonspecific binding.

30

## Experiments for Example 2

### a) experiment 1

- A base hydrogel permeation layer was formed on an electronically addressable microarray comprising acrylamide cross linked with methylene-bisacrylamide. Prior to formation of the base layer, the underlying substrate was extensively cleaned using an argon plasma. The base layer was laid down using UV polymerization (90% acrylamide, 10% bis, DMSO/H<sub>2</sub>O (50/50 v/v), UV initiation with 0.3 mg/ml D 4265). Following formation, the arrays were dried at 70°C for 15 minutes, rinsed with water, and dried a second time.
- The attachment layer was grafted using methacrylamide-SHA (Meth-SHA) (15 mg/ml) and AIBN (30 mg/ml) in 10ml 20% DMSO/80% H<sub>2</sub>O. The arrays were contacted with this mixture for 1 hour at 80°C followed by rinsing with DMSO and water. A control array was produced wherein no SHA was present using the same reaction conditions. Table IV provides the mole % of Meth-SHA and AIBN. Also listed are probe densities obtained following exposure of the grafted microarray to 10 $\mu$ M PBA-ATA5-BTR for 10 minutes.

Table IV

Array	moles of SHA	[SHA] (M)	moles of AIBN	[AIBN] (M)	mole % SHA	mole % AIBN	probe density
test	2.99x10 <sup>-4</sup>	0.02	1.83x10 <sup>-3</sup>	0.18	14	86	6.64x10 <sup>7</sup> +/- 4.3x10 <sup>6</sup>
control	0	0	1.83x10 <sup>-3</sup>	0.18	0	100	7.62x10 <sup>5</sup> +/- 1.88x10 <sup>5</sup>

### b) experiment 2

- In this experiment, the base permeation layer was made as above in experiment 1. The attachment layer was grafted using a slurry mixture of 5mg Meth-SHA and 6 mg AIBN in 300 $\mu$ l 33% DMSO/67% water. 100 $\mu$ l of the slurry was loaded onto the array base permeation layer and heated for 1 hour at 80°C. The treated array was then rinsed with DMSO, water, and dried. A control array was also produced without SHA as immediately above. Table V shows the results of this construct. In this experiment, the probe density is about 3 orders of magnitude greater than the control without SHA.

Table V

Array	moles of SHA	[SHA] (M)	moles of AIBN	[AIBN] (M)	mole % SHA	mole % AIBN	probe density
test	$6.7 \times 10^{-6}$	0.07	$1.21 \times 10^{-5}$	0.12	36	64	$2.14 \times 10^8 \pm 1.82 \times 10^7$
control	0	0	$1.21 \times 10^{-5}$	0.12	0	100	$5.33 \times 10^5 \pm 6.36 \times 10^4$

## c) experiment 3

- 5 Microarrays with a base permeation layer were made as above. Attachment layers were constructed on the arrays to test the nature of the binding of the attachment layer to the base layer. A control array was constructed wherein no AIBN was used (*i.e.* 5 mg Meth-SHA mixed with 300  $\mu$ l 33% DMSO/67% water of which 100  $\mu$ l of slurry was layered onto the array and heated for 1 hour at 80°C). A second control
- 10 array was produced wherein no SHA or AIBN was used (*i.e.* 100  $\mu$ l of 33% DMSO/67% H<sub>2</sub>O heated for 1 hour at 80°C). As shown in the Table VI, the probe density is much lower as compared to the probe density with SHA and AIBN are included as in Table V above. Therefore, AIBN is required for successful grafting of Meth-SHA. Additionally, the results suggest that the Meth-SHA is not merely diffused
- 15 into the base layer but covalently bound to it. The results also indicate that levels of nonspecific binding obtained in experiments 1 and 2 without AIBN results from true passive nonspecific binding and not due to residual Meth-SHA. This suggestion is derived from the fact that the level of passive nonspecific binding obtained in experiment 3 with no Meth-SHA and no AIBN is actually larger than the signal
- 20 obtained on the arrays tested in experiments 1 and 2.

Table VI

Array	moles of SHA	[SHA] (M)	moles of AIBN	[AIBN] (M)	mole % SHA	mole % AIBN	probe density
no AIBN	$6.7 \times 10^{-6}$	0.07	0	0	100	0	$1.00 \times 10^6 \pm 7.20 \times 10^5$
no AIBN or SHA	0	0	0	0	0	0	$9.03 \times 10^5 \pm 1.77 \times 10^5$

Figure 4 summarizes the results of the above experiments. As shown, attachment levels can result in hybridization of probe densities on the order of  $10^8$ -  $10^9$  per capture site. Entries 3 and 4 of Figure 4 show the stability of the attachment chemistry applied. Entry 3 (labeled “With SHA/With AIBN/post 40 hr. soak”) shows the mean average binding intensity of entry two after soaking in water for 40 hours, indicating only a slight loss in fluorescence. Entry 4 then shows the recovery of the signal with additional exposure to specific DNA with fluorophor showing the attachment system to the permeation layer has not been compromised.

#### 10 d) experiment 4

Microarrays were coated with the standard base permeation layer as described above in experiment 1. They were then slurry grafted with bromoacetyl-propyl-methacrylamide (BacMac) using AIBN as initiator (5 mg BacMac, 5 mg AIBN, 80°C for 1 hour), then vigorously washed under standard conditions.

15 Binding assays were carried out using BTR labeled probes that were modified with either terminal thiol, terminal phosphorothioate, unreduced disulfide, or no modification. The probes were electronically addressed to the capture sites in 50 mM  $\beta$ -alanine, 30 seconds, and currents of 50, 100, 200, 400, and 800 nA per site. After addressing, the arrays were washed with 0.2X STE, 1% SDS, and water then imaged.

20 Figure 2 shows a bar graph wherein BTR labeled T11 substituted with unreduced disulfide (probe 6a19) gave no binding, BTR labeled T11 unsubstituted (RCA7) gave only background, BTR labeled T11 having a terminal thiol (probe 6a19.b) gave specific attachment, and BTR labeled T11 substituted with phosphorothioate (probe 13a1) gave specific attachment. The specific attachment levels are superior to the levels displayed

25 in example 1 due to the increased number of potential binding sites generated from the graft polymerization technique.

#### Example 3

In this example, chemical moieties for attaching biomolecules at specific locations on the microarray are grafted onto preformed permeation layers. In one embodiment, the grafting method developed for the current invention involves a

“solution” method. This method achieves a high density of grafted activation moieties (e.g. substituted acrylamide or methacrylamide monomer) onto acrylamide-based hydrogel permeation layers. In this method, a high concentration of thermally activated initiator is used to obtain grafting onto the preformed permeation layer.

5 In a preferred embodiment a solution is made of polymerization initiator and attachment monomer which are both soluble at room temperature. The solution is applied to the base permeation layer of a microarray then the temperature is then raised to activate the initiator, which results in a high concentration of initiator radicals and monomer locally near the surface of the preformed polymer layer. Attachment of the  
10 monomer (i.e., grafting) and polymerization of the monomer occurs simultaneously resulting in covalent attachment of polymerized functional group to the permeation layer. In this manner, the biomolecule attachment moieties (i.e., R moieties) are segregated mainly to the upper region of the polymer layer and consequently are isolated from any deleterious effects that may be caused by electrolysis products of the  
15 underlying electrodes or direct electrochemical oxidation at the electrodes.

In comparison to systems which have the attachment sites originating only from the available sites on the substrate layer, the grafted system of the current invention significantly increases the density of sites for attaching biomolecules and consequently allows for a decreased background due to nonspecific binding.

20 Attachment of the grafted monomer to achieve covalent linkage may be initiated by any number of methods. For example, grafting may use thermal decomposition of initiators (e.g. AIBN, benzoyl peroxide), photolytic cleavage of initiators (e.g. UV initiation of D 4265), redox reactions (e.g. cerium (IV) sulphate), ionizing radiation (e.g.  $\alpha$ ,  $\beta$ ,  $\gamma$  or X-rays), or plasma initiation (e.g. Argon, Nitrogen, Oxygen). Moreover,  
25 the grafting process may also be specifically directed to predetermined locations of the array by using chemical moieties that must be activated prior to reaction.

### Example 3: experiment 1

30 In this experiment, the base permeation layer was made as above in experiment 1 of example 2. The attachment layer was grafted using a solution of 5 mg Meth-SHA and 6 mg AIBN in 300 $\mu$ l DMSO. 100 $\mu$ l of the solution was loaded onto the array base



permeation layer and heated for 1 hour at 80°C. The treated array was then rinsed with DMSO, water, and dried.

Figure **17B** is a bar graph showing results of an electronic reverse dot blot assay wherein, the capture probes were addressed at 500 nA/pad for 1 min followed by a  
 5 specific and nonspecific BTR labeled 69-mer target addressing (500 pM concentration), at 400 nA/pad for 2min. The chips prior to imaging were washed extensively with 1% SDS and 0.2x STE.

Column 3 was addressed with a specific target, column 1 and 5 were addressed with a nonspecific target and columns 2 and 4 were left unaddressed. The image was  
 10 recorded after stringent washing as described above as shown in figure **17A**. The bar graph as shown in figure **17B** shows a high discrimination for the specific binding of the BTR labeled target with a specific binding of  $7 \times 10^7$  probes/pad. The results of the above experiments demonstrate the utility of the solution graft method in laying down a primary layer of individual functional groups for attachment of biomolecules as well as  
 15 other functional groups.

#### Example 4

Additional experiments were performed wherein a “plasma graft” method was used. In this embodiment, arrays were coated with a base permeation layer comprising  
 20 9:1 acrylamide/bis-acrylamide wt/wt. The arrays were then treated with argon plasma (50W, 100SCCM) followed by coating with a solution of methacrylamide-salicylhydroxamic acid (MA-SHA) in either DMSO, or 1:1 DMSO/H<sub>2</sub>O for 5 minutes. The arrays were washed with water and dried. Extent of grafting was determined by either passive or electronic attachment of PBA-T12-BTR probes. Figure **5** shows  
 25 passive binding of PBA-T12-BTR probes on arrays that had been grafted under various conditions. Specifically, these arrays gave probe densities of about  $2 \times 10^7$  per capture site under conditions where the array was grafted with argon plasma for 1 minute (Fig. **5** shows corresponding MFI/s levels). Figure **6** shows binding of probe following various electronic addressing conditions on arrays that were grafted with argon plasma  
 30 for 2 minutes. Attachment levels for arrays that were electronically addressed approached  $1 \times 10^7$  probes per capture site (electronic biasing at 200 nA for 30 seconds).

By comparison, slurry grafting yielded attachment levels in the range of  $2 \times 10^8$  probes per site.

#### Example 5

5 In this example, a permeation layer is formed that has reactive centers R that must be activated prior to attachment with the functional group. Additionally, the example proves the ability to carry out specific attachment using electronic biasing so that bonding of the functional group occurs only at capture sites of the array.

A base permeation layer was fabricated from an aqueous solution (30% solids) of 3-aminopropyl methacrylamide hydrochloride (APMA) methylene bis-acrylamide (BIS) and acrylamide (Am) using a mole % ratio of 5-5-90 respectively. N,N,N',N'-Tetramethyl ethylenene diamine (TEMED) was added at a concentration of 0.2% v/v followed by 0.5g/ml of ammonium persulfate at a final concentration to the monomer mix at 0.2% v/v. 7.5 $\mu$ l of this solution was placed over the array of a previously silanized (3-trimethoxysilylpropyl methacrylate or TMSPM) electronically addressable microchip and then covered with a glass cover slip that was previously treated with a hydrophobic silicone solution (such as Rain-X<sup>TM</sup> made by Blue Coral – Slick 50 Ltd.; Cleveland Ohio). The monomer solution was allowed to polymerize at room temperature for 90 minutes. The coated microchip was removed from the cover slip and washed thoroughly to remove residual monomers. The coated microchip bearing free amines was then allowed to react with a solution of 4-(Nhydroxysuccinimidyl)-4-mercapto-(methylester) butanate (SATP) 1.5 mg/ml in DMSO and phosphate buffer pH 7.5, 0.1 M. After reacting overnight, the microchip was washed with buffer, DMSO, and water to remove unbound SATP. The reaction of SATP with amines yields thioesters, hence the derivatization of the permeation layer. The thioester functional groups provided the permeation layer surface with the ability to contain free thiols after further exposure to high or low pH conditions (i.e., activation). Figure 7 shows a schematic of free thiol generation.

Activation of the thioesters was accomplished by biasing the specific microelectrode locations (*i.e.* capture sites) with either a positive or negative bias. However, in order to ensure that the pH change remained localized over the electrode,

the appropriate buffer system was selected during biasing conditions. If the buffer conditions are too strong, the pH change over the electrode may be minimal or eliminated thereby hindering the activation of the functional groups. Also, if the buffer is too weak, the pH change will not be localized making possible activation of the permeation layer beyond the location of the capture site. In addition, the appropriate current was selected during the biasing procedure. Too little current will not generate the sufficient pH changes needed to hydrolyze the thioester bond. Also if the current is too great, the buffer will have a difficult time keeping the pH change localized.

In the example, the three buffer conditions were compared. In one experiment the buffer comprised 0.1 M sodium phosphate pH 6.8, 1 mM triethylamine. The capture sites (80  $\mu$ m diameter) were biased at a current of 600 nA per location for one minute. A nucleotide of 20 bases that had a 3' Hex fluorophore and a 5' iodoacetamide, a thiol reactive functional group, was prepared as a 20  $\mu$ M solution in 0.1 M sodium phosphate solution pH 7.5, 1 mM EDTA. The DNA oligomer was then allowed to passively react with the activated microchip permeation layer. After washing the chip with buffer and water several times, the microchip was imaged under an epifluorescence microscope. As shown in Fig. 8, the Hex labeled DNA attached to the capture pads to a very high ratio over background when 600 nA/pad was used to bias the attachment sites. This result shows the need for appropriate buffer systems and the sensitivity to the level of current needed to generate a suitable pH change for generation of thioester cleavage and subsequent functional group attachment. The non-phosphate buffers at the current used in these tests provided no useful effect on local pH at the capture sites.

Figure 9 shows another embodiment of this example wherein a microchip that has had thiol groups attached at specific capture sites and that were reacted with labeled oligomer, were further treated to cause unreacted thiol groups to become inert through the use of a capping moiety, thereby further enhancing the specificity of the microchip capture sites.

The groups requiring activation that may be used according to this example further include a variety of acid labile moieties such as acetals, ketals, imines, TBOC, FMOC, trityl, trifluoroacetamide, other esters, and moieties capable of elimination or

hydrolysis. Base labile moieties useful in this embodiment include esters, amides, substituted phosphates, tosyl, mesyl, triflate, and  $\beta$ -cyanoethyl moieties capable of elimination or hydrolysis.

The moiety requiring activation may also be used to specifically attach proteins  
 5 such as enzymes, via the protein's intrinsic moieties or via modifications that add selected moieties.

#### Example 6

In this example, an embodiment is provided wherein reactive centers R comprise  
 10 a moiety that must be activated prior to attachment of derivatized biomolecules. The example shows that P and R moieties of functional groups may vary depending upon the desired method of attaching biomolecules.

Acetal moieties are incorporated into the hydrogel formation such that when  
 15 activated, aldehyde groups are formed specifically over the capture sites to the exclusion of the non-capture site array surface. Use of acetal groups provides a functional group that can be reacted under a variety of conditions thereby allowing for the use of a variety of chemical reagents and transformations to attach biomolecules at capture sites. For example, the reaction of an aldehyde reactive group with  
 20 dihydrazides allows for attachment of oxidized ribose terminated oligonucleotides. Another example is the direct reaction of the aldehydes with amine terminated oligonucleotides. Likewise, it is also possible to react the aldehydes with amine groups of proteins such as streptavidin for binding to biotinylated oligonucleotides.

In this embodiment, a reactive center R containing an acetal moiety is  
 incorporated into a hydrogel formulation to yield a permeation layer that contains acetal  
 25 functionality. This acetal functionality may be activated and converted to an aldehyde functionality via electrochemical generated acid hydrolysis of the acetal groups. The electrochemical oxidation of water is used to generate the acidic conditions required to hydrolyze the acetals. The aldehyde groups are then used to link biomolecules such as oligonucleotides to the permeation layer using a P-X-R functional group by a variety of  
 30 attachment schemes such as use of oxidized ribose oligonucleotides with dihydrazides, amine terminated oligonucleotides, and biotinylated oligonucleotides and streptavidin.

Specifically, acetal monomers such as used in this example (3,9-divinyl-2,4,8,10-tetraoxaspiro[5,5]undecane, and 2-vinyl-1,3-dioxolane) were incorporated into a permeation layer matrix by copolymerization in a crosslinked acrylamide hydrogel. The result is a hydrogel layer coated onto the array which contains acetal functionality.

5 The acetal groups were subsequently hydrolyzed to aldehyde groups via electrochemically generated acid. The low pH required for hydrolysis is generated by electrochemical oxidation of water in 0.1 M KCl solution. The aldehydes were then used as attachment sites for P-X-R functional groups and consequently oligonucleotide biomolecules.

10 Three attachment schemes were evaluated. In scheme 1, aldehydes were converted to hydrazide via reaction with adipic dihydrazide followed by treatment with oxidized ribose terminated oligonucleotides. The acetals were introduced via copolymerized 2-vinyl-1,3-dioxolane. In scheme 2 the aldehydes were reacted with amine terminated oligonucleotides. In this case the acetals were introduced via  
15 copolymerization of 2-vinyl-1,3-dioxolane, In scheme 3, the aldehydes were reacted with amine groups on streptavidin (S) and subsequently attached to biotinylated oligonucleotides. This scheme was used on crosslinked acrylamide hydrogels grafted with either 2-vinyl-1,3-dioxolane or 3,9-divinyl-2,4,8,10-tetraoxaspiro[5,5]undecane. Specific details of these schemes are provided below (refer to Fig. 10). The use of  
20 different functional groups in these schemes shows that acetal functionality is universal to different functional groups of the formula P-X-R. Moreover, it demonstrates that the attachment can be controlled to specific sites of interest.

#### Example 6 experiments

##### 25 a) Scheme 1

A hydrogel base permeation layer was made comprising 92.5% acrylamide, 5% methylene-bisacrylamide and 2.5% 3,9-divinyl-2,4,8,10-tetraoxaspiro[5,5]undecane. (30% solids) The hydrogel coated microarray was extensively cleaned using an argon plasma (10 minutes) prior to polymerization of the hydrogel layer. Polymerization was  
30 initiated by addition of 3 mg/ml of VAO44 as a UV initiator and exposure to UV light.

Subsequent heating to 65°C for 10 minutes was used to ensure complete curing.

DMSO/H<sub>2</sub>O (50/50 v/v) was used as solvent.

The microarray contained 5 rows of 5 capture sites each. Rows 1, 3 and 5 were biased at 600 nA/site for 2 minutes in 0.1M KCl and thoroughly rinsed with water. The microarray was then immersed in a 1 ml 0.1M phosphate pH 7 solution containing 32 mg/ml of adipic dihydrazide for 1.5 hours. After thorough rinsing with water the array was incubated for 20 minutes with 10 µM oxidized ribo-U ATA5 in 0.1 M phosphate buffer pH 7.4. The array was rinsed with 0.2X STE/1% SDS, then rinsed with 0.2X STE to remove any oxidized ribo-U ATA5. The array was then incubated for 20 minutes with 10 µM RCA5 labeled with Bodipy-Texas Red in 0.1 M phosphate/50 mM NaCl buffer pH 7.4. (ATA5 and RCA5 are perfect complements of one another) Following hybridization the array was rinsed with 0.2X STE/1% SDS, then rinsed with 0.2X STE to remove any RCA5 labeled with Bodipy-Texas Red.

The 3,9-divinyl-2,4,8,10-tetraoxaspiro[5,5]undecane is an acetal-containing compound which forms aldehydes when exposed to low pH. Biasing of the specific sites at 600 nA/site for 2 minutes in 0.1 M KCl generated an appropriate level of protons which hydrolyzed the acetal groups. These aldehyde groups were then reacted with a P-X-R functional group (i.e., adipic dihydrazide) which attached to the aldehydes only at the capture sites which had been biased positive. The oxidized ATA5 oligo (i.e., derivatized biomolecule) has a dialdehyde group which was then reacted with the R moiety hydrazide. Figure 11 shows attachment results of a labeled biomolecule RCA5-BTR to ATA5 following either specific activation at selected sites or without specific activation (i.e. nonspecific binding). Hybridization has occurred over background where specific activation of positive biasing at 600 nA per site for 2 minutes was used.

#### b) Scheme 2

In this embodiment, the hydrogel base permeation layer was composed of 85% acrylamide, 5% methylene-bisacrylamide and 5% 2-vinyl-1,3-dioxolane. The microarray was extensively cleaned using an argon plasma (10 minutes) prior to polymerization of the hydrogel layer. Polymerization was initiated by addition of 0.3

mg/ml of D 4265, as UV initiator and exposed to UV light. Subsequent heating to 70°C for 30 minutes was used to ensure curing. DMSO/H<sub>2</sub>O (50/50 v/v) was used as solvent.

Figure 12 is a bar graph showing results of specific attachment of a biomolecule wherein the selected capture sites were biased at 600 nA/capture site for 2 minutes in 0.1 M KCl to activate the R moiety acetal to aldehyde. Following activation the array was thoroughly rinsed with water. The microarray was then covered with a 50 µl solution of 0.1M phosphate buffer pH 8 containing 10 µM amine terminated ATA7 labeled with Texas Red. After being incubated for 30 minutes the microarray was rinsed with 0.2X STE/1% SDS, then rinsed with 0.2X STE to remove any unbound amine terminated Texas Red labeled ATA7.

As indicated by Fig. 12, the attachment only occurred at the electrodes which had been biased at 600 nA/capture site for 2 minutes. The attachment levels were about 10<sup>7</sup> probes per site. This example demonstrated the direct attachment of amine terminated oligonucleotides to the aldehyde groups formed by the electrochemically generated acid hydrolysis of the acetal groups.

### c) Scheme 3

In this embodiment, the base permeation layer comprised 90% acrylamide and 10% methylene-bisacrylamide (30% by weight in 1/1 DMSO/H<sub>2</sub>O). D 4265 was used as UV initiator, and the samples exposed to UV light. The arrays were cured at 60°C for 1 hour, rinsed, then dried at 60°C.

In this example, the vinyl acetal moieties can be considered to comprise a first P-X-R functional group wherein P is vinyl, X is chemical bond and R is acetal. The acetal moieties were bound to reactive centers of the permeation layer via a “slurry” graft through polymerization of the alkene moiety. This polymerization process exemplifies the applicability of the slurry graft method.

The slurry approach uses a solvent system in which the initiator and functional group are incompletely soluble at room temperature, thus creating a slurry of initiator and functional group. The particles of initiator and functional group settle out onto the surface of the surface of the permeation base layer. Upon increasing the temperature to 90 °C, the initiator becomes reactive and the components of the slurry dissolve to

generate a high concentration of functional group and initiator radicals locally near the surface of the preformed polymer base layer. This results in the covalent linking of the attachment moiety P of the functional group to the hydrogel. In this example, the acetal monomer was grafted onto the permeation layer using equal weights of the vinyl acetal and AIBN, which serves as an initiator. 5 mg of monomer and AIBN were dissolved in 100  $\mu$ l DMSO then precipitated into a slurry with addition of 200  $\mu$ l of water. The grafting was accomplished by addition of 50  $\mu$ l of the slurry onto the microarray surface and incubated at 90°C for 1 hour, followed by copious DMSO and water washes.

A second P-X-R functional group was added in the form of streptavidin wherein P is amine X is chemical bond and R is streptavidin. This functional group was linked to the activated sites (in this case an R moiety comprising aldehyde) following electronic deprotection (300 nA/ capture site at 45-60 seconds in 0.1M KCl). This step caused electrochemical generated acidic hydrolysis of the acetal groups to form aldehydes directly over the capture sites of interest. The streptavidin functional group (1mg/ml) was applied passively for 1 hour followed in turn by a sodium cyanoborohydride wash (1 hour).

The above steps were carried out on a test microarray wherein columns 1-4 were grafted with the acetal and activated to generate the aldehyde moieties to which functional group streptavidin was added. Column 5 was left as an acetal surface for investigating non-specific attachment. Columns 1 and 3 were electronically addressed with 50 nM biotinylated T12 labeled with Bodipy Texas Red at 400 nA per electrode for 2 minutes. Columns 2 and 4 were electronically addressed with 50 nM non-biotinylated T12 labeled with Bodipy Texas Red. Thus, columns 1 and 3 provided specific attachment while columns 2 and 4 provided for non-specific attachment. The microarrays were then washed in 0.2X STE, 1% SDS for at least 10 minutes, rinsed, and soaked in 0.2X STE for an additional 10 minutes. Finally, the arrays were rinsed in water and imaged in histidine buffer.

Fig. 13 shows results of the above fabricated microarray wherein the entire array was exposed to biotin T12-BTR as a 10  $\mu$ M solution for 1 hour. As shown, binding occurred at columns 1-4 but not column 5, *i.e.*, binding occurred only at the sites that had been specifically activated.



In Fig. 14, an array was produced as above but columns 1 and 2 were activated for 30 seconds at 300 nA/site, while columns 3 and 4 were exposed for 60 seconds. Following activation, the array was reacted with streptavidin and electronically addressed with a specific capture onto columns 1 and 3, while columns 2 and 4 were addressed with nonspecific probes (*i.e.* nonbiotinylated oligo). Fluorescence analysis of the capture sites provided an indication of the amount of electronic activation is important to bonding of the functional group. As shown, column 1 does not have a fluorescence intensity as compared with column 3 indicating the lack of streptavidin over the sites of column 1. Thus, the time for activation under the conditions used were not enough to provide complete activation at column 1 sites. It is of interest that the fluorescence values recorded for columns 1, 2, and 4 have comparable values as shown in figure 14. The fact that the oligo used in column 4 lacked biotin for binding to the streptavidin, while columns 1 and 2 lacked sufficient streptavidin, suggests that nonspecificity may in part be due to the current driving the oligos into the porous permeation layer.

To further test nonspecific binding, an array was prepared as above. Columns 1 and 3 were addressed with biotin T12-BTR followed by washing the array with 50 mM histidine buffer, followed in turn by addressing columns 2 and 4 with nonbiotinylated probe. As shown in Figure 15, the specific versus nonspecific binding is well pronounced. The fact that some background was observed in columns 2 and 4 prompted yet further investigation shown in Figure 16 wherein biotinT12-BTR was addressed to columns 1, 3 and 5, followed by washing and imaging. As shown, columns 1 and 3 hybridized as expected while column 5 which had never been activated exhibited marginal background. These data suggest that both passive binding and oligo trapping takes place to result in marginal levels of background.

The results of the above experiments demonstrate the utility of the slurry graft method in laying down a primary layer of individual functional groups (*i.e.*, acetal) and of binding a second functional group layer (*i.e.*, streptavidin moieties). Additionally the results show the utility of activating functional moieties at specifically selected sites on the microarray using electrochemically generated pH changes in the buffer. Further, the grafting of the functional groups to the hydrogel polymer permeation layer and the

electronic addressing of oligonucleotides provides information on the levels of specific and non-specific attachment. Moreover, for either the 2-vinyl-1,3 dioxolane or the 3,9-divinyl-2,4,8,10-tetraoxaspiro[5,5]undecane functional groups, the specific electronic attachment density was on the order of  $10^8$  probes per site, while the non-specific was  
5 10 to 100 times less.

The foregoing is intended to be illustrative of the embodiments of the present invention, and are not intended to limit the invention in any way. Although the invention has been described with respect to specific modifications, the details thereof  
10 are not to be construed as limitations, for it will be apparent that various equivalents, changes and modifications may be resorted to without departing from the spirit and scope thereof and it is understood that such equivalent embodiments are to be included herein. All publications and patent applications are herein incorporated by reference to  
15 the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

We Claim:

1. An electronically addressable microarray with a permeation layer containing first chemical groups for attaching to the microarray biomolecules and/or second chemical groups, said first groups having the formula:

5



wherein,

**P** is a chemical moiety for binding to the microarray and/or for binding a moiety of said second chemical group;

**X** is a chemical bond or a linking chemical moiety; and

10

**R** is a chemical moiety for attaching, either covalently or non-covalently, a derivatized biomolecule, or for attaching covalently a moiety of said second chemical groups.

15

2. A microarray according to claim 1 wherein **P** is selected from the group consisting of, alkenyl moieties including but not limited to  $\alpha,\beta$ ,unsaturated carbonyls vinyl, allyl and homoallyl groups; epoxide, a chemical bond, phenyl boronic acid, salicylic hydroxamic acid, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.

20

25

3. A microarray according to claim 1 wherein **R** is selected from the group consisting of a chemical bond, streptavidin, a portion of streptavidin, biotin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.

30

4. A microarray according to claim 1 wherein **R** is a chemical moiety that is activated prior to participating in a chemical reaction for binding either a derivatized biomolecule or a moiety of said second chemical group.

5. A microarray according to claim 4 wherein said **R** is activated by either an increase or decrease in pH of a solution overlying said **R**.
6. A microarray according to claim 5 wherein said pH change is provided by an electronically generated potential of an electrode of an electronically addressable microarray.
7. A microarray according to claim 1 wherein **P** is further bonded with said second chemical groups wherein said second chemical groups have the formula **P'-X'-R'**, further wherein said **P** is bonded to the **P'** moiety of at least one said second chemical group.
8. A microarray according to claim 7 wherein said second chemical groups form a polymer wherein a backbone of said polymer comprises **P'** moieties connected to one another and **-X'-R'** are connected to each **P'**.
9. A microarray according to claim 8 wherein **P'** equals **P**, **X'** equals **X**, and/or **R'** equals **R**.
10. A microarray according to claim 1 wherein **R** is bonded with said second chemical groups wherein said second chemical groups have the formula **P'-X'-R'**, further wherein said **R** is bonded to the **P'** moiety of at least one said second chemical group.
11. A microarray according to claim 10 wherein said second chemical groups form a polymer wherein a backbone of said polymer comprises **P'** moieties connected to one another and **-X'-R'** are connected to each **P'**.
12. A microarray according to claim 11 wherein **P'** equals **P**, **X'** equals **X**, and/or **R'** equals **R**.

13. A microarray according to claim 1 wherein **X** is selected from the group consisting of a chemical bond, an alkyl group of 1-10 carbon atoms, an alkenyl group of 2-10 carbon atoms, alkyl esters, ketones, amides, ethers, thioesters, amido groups, and carbonyls, and any combinations thereof.
- 5
14. An electronically addressable microarray with a permeation layer containing first chemical groups and second chemical groups, said first groups having the formula **P-X-R** and said second groups having the formula **P'-X'-R'** wherein,
- 10           **P** is a chemical moiety for binding to the microarray, each of said **P** moieties further connecting said first groups to a permeable polymer of said microarray and to at least one **P'** of said second groups, said **P'** moieties each further connecting at least one other **P'** moiety of said second groups to form a polymer of said second groups;
- 15           **X** and **X'** are linking chemical moieties selected from the group consisting of a chemical bond, an alkyl group of 1-10 carbon atoms, an alkenyl group of 2-10 carbon atoms, alkyl esters, ketones, ethers amides, thioesters, amido groups, and carbonyls, and any combinations thereof; and
- R** and **R'** are chemical moieties for attaching, either covalently or non-covalently, a derivatized biomolecule.
- 20
15. A microarray according to claim 14 wherein **R** and **R'** are selected from the group consisting of streptavidin, a portion of streptavidin, biotin, PBA, SHA, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide,
- 25           thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
16. A microarray according to claim 15 wherein **R** equals **R'**.
- 30 17. A microarray according to claim 14 wherein said **P** or **P'** and **R** or **R'** are chemical moieties that are activated prior to participating in a chemical reaction

which activation is either under the same or mutually exclusive conditions, wherein said chemical reaction is between any of combinations of **P** and **P'**, **R** and a derivatized biomolecule, and/or **P'** and **P'** of another second group.

5 18. A microarray according to claim **17** wherein said **P**, **R**, **P'** or **R'** are activated by either an increase or decrease in pH of a reaction solution overlying said microarray.

10 19. A microarray according to claim **18** wherein said pH change is provided by an electronically generated potential of an electrode of an electronically addressable microarray.

15 20. A microarray according to claim **14** wherein **P** is activated to bond to free **P'-X'-R'** monomers in a chemical reaction comprising polymerization of said monomers, wherein said **P** bonds to a reactive center in the **P'** moiety of at least one of said free monomers wherein each of said **P'** moieties bind to at least one other **P'** of another monomer.

20 21. An electronically addressable microarray containing a permeation layer with first chemical groups and second chemical groups, said first groups having the formula **P-X-R** and said second groups having the formula **P'-X'-R'** wherein,

25 **P** is a chemical moiety for direct binding to the microarray, each of said **P** moieties further connecting said first groups to a permeable polymer of said microarray, said **R** is a chemical moiety connecting to at least one **P'** of said second groups, said **P'** moieties each further connecting at least one other **P'** moiety of said second groups to form a polymer of said second groups;

30 **X** and **X'** are linking chemical moieties selected from the group consisting of a chemical bond, an alkyl group of 1-10 carbon atoms, an alkenyl group of 2-10 carbon atoms, alkyl esters, ketones, amides, ethers, thioesters, amido groups, and carbonyls, and combinations thereof; and

**R'** is a chemical moiety for attaching, either covalently or non-covalently, a derivatized biomolecule.

22. A microarray according to claim **21** wherein **R** and **R'** are selected from the group consisting of streptavidin, a portion of streptavidin, biotin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
23. A microarray according to claim **22** wherein **R** equals **R'**.
24. A microarray according to claim **21** wherein said **P** or **P'** and **R** or **R'** are chemical moieties that are activated prior to participating in a chemical reaction which activation is either under the same or mutually exclusive conditions, wherein said chemical reaction is between any of combinations of **P** and **P'**, **R** and **P'**, and **P** and **P'** of another second group.
25. A microarray according to claim **24** wherein said **P**, **R**, **P'** or **R'** are activated by either an increase or decrease in pH of a solution overlying said microarray.
26. A microarray according to claim **25** wherein said pH change is provided by an electronically generated potential of an electrode of an electronically addressable microarray.
27. A microarray according to claim **21** wherein **R** is activated to bond to free **P'-X'-R'** monomers in a chemical reaction comprising polymerization of said monomers, wherein said **R** bonds to a reactive center in the **P'** moiety of at least one of said free monomers wherein each of said **P'** moieties bind to at least one other **P'** of another monomer.

28. An electronically addressable microarray having a permeation layer having first chemical moieties attached to biomolecules and/or to polymerized monomer units comprising second chemical moieties, said second chemical moieties further attached to biomolecules, wherein said attachment of said biomolecules to said first chemical moieties or to said polymerized units has occurred following activation of at least one of said first and/or said second chemical moieties under acidic and/or basic pH conditions, said first chemical moieties have the formula **P-X-R** and said second chemical moieties having the formula **P'-X'-R'**, wherein said **P** comprises a chemical element requiring activation for attaching to said permeation layer and/or to a **P'** of said second chemical moiety, said **X** and **X'** comprise nonreactive chemical elements, and said **R** and **R'** comprise chemical elements requiring activation different from **P** and **P'** for attaching said biomolecules or to **P'** of another second chemical moiety.
29. A microarray of claim **28** wherein said permeation layer comprises a polymer polymerized from the monomer group consisting of acrylamide, bisacrylamide, methacrylamide, *N*-alkyl acrylamides, functionalized ethylene glycol derivatives, *N*-vinyl pyrrolidinone, bis-cystamine, acrylates, methacrylates, and acrylonitriles where alkyl refers to a carbon chain.
30. A microarray of claim **28** wherein said biomolecules are derivatized with a chemical moiety selected from the group consisting of vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
31. A microarray of claim **28** wherein said **P** and **P'** are selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls, vinyl, allyl and homoallyl groups, acetal, thioester, disulfide, epoxides, alkyl ether, and carboxylic acid.



32. A microarray of claim **28** wherein said **X** and **X'** are selected from the group consisting of a chemical bond, a carbon chain consisting of 1 to 10 carbons, ethers, polyethers, amides, and esters.
- 5 33. A microarray of claim **28** wherein said **R** and **R'** are selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, thioester, disulfide, epoxide, and alkyl ether.
- 10 34. A microarray of claim **33** wherein said **R** equals **R'**.
35. An microarray of claim **28** wherein adjustment of pH has been initiated by lowering or raising the pH of the buffer and/or by biasing electrodes of said electronically addressable array with either positive or negative electronic potential.
- 15 36. A microarray according to claim **28** wherein each of said **P**, **P'**, **R**, and or **R'** is a thioester.
- 20 37. A microarray according to claim **28** wherein each said **P**, **P'**, **R**, and or **R'** is an acetal moiety.
38. A microarray according to claim **28** wherein said **R** is selected from the group consisting of amines, derivatized amines, -salicylhydroxamic acid, bromoacetamide, salicyl hydroxamic acid, maleimide, streptavidin, biotin, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
- 25 39. A microarray according to claim **35** wherein said electronic potential has been applied at a current density of between 50 nA/5000 $\mu\text{m}^2$  and 5  $\mu\text{A}$ /5000 $\mu\text{m}^2$  at a
- 30

site on the microarray intended for activation for a time period between 30 and 600 seconds.

40. A method of making a microarray of biomolecules on an electronically addressable microchip comprising:
- a. binding chemical groups having the formula P-X-R to a permeation layer of said microchip;
  - b. activating a first chemical moiety represented by R of said formula so that said first moiety will react with and attach to a biomolecule having a second chemical moiety that will attach to said first moiety; and
  - c. contacting said activated first moiety of (b) with said second moiety of said biomolecule;
- wherein P of said formula represents a chemical moiety for attaching said chemical group to said permeation layer, X is a nonreactive moiety, and R is a chemical moiety for attaching said biomolecule.
41. A method according to claim 40 wherein said P chemical moieties are selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls vinyl, allyl and homoallyl groups, acetal, epoxide, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
42. A method according to claim 40 wherein said R chemical moieties are thioesters that are activated to become reactive thiols.
43. A method according to claim 40 wherein said R chemical moieties are acetal monomers that are activated to become aldehydes.

44. A method according to claim **40** wherein said X chemical moieties are selected from the group consisting of a chemical bond, amides, carbon chains consisting of 1 to 10 carbons, esters, ethers and polyethers.
- 5 45. A method according to claim **40** wherein said R chemical moieties are selected from the group consisting of a biotin, streptavidin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
- 10 46. A method according to claim **40** wherein said second moiety of said biomolecules are selected from the group consisting of a biotin, streptavidin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate
- 15 monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
47. A method of making a microarray of biomolecules on an electronically addressable microchip comprising:
- 20 a. binding a polymer of chemical groups having the formula P-X-R to a permeation layer of said microchip wherein P of each group is a first chemical moiety forming a polymeric backbone such that P of each group is attached to at least one other P moiety and one of said P is used to bind said polymer to said permeation layer; and
- 25 b. contacting second chemical moieties represented by R of said formula with biomolecules having a derivative moiety that will bond either covalently or noncovalently to said second chemical moieties; wherein, X is a nonreactive moiety.
- 30 48. A method according to claim **47** wherein said P chemical moieties are selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls vinyl,

allyl and homoallyl groups, acetal, epoxide, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.

- 5 49. A method according to claim 47 wherein said R chemical moieties are thioesters that are activated to become reactive thiols.
50. A method according to claim 47 wherein said R chemical moieties are acetal monomers that are activated to become aldehydes.
- 10 51. A method according to claim 47 wherein said X chemical moieties are selected from the group consisting of a chemical bond, amides, carbon chains consisting of 1 to 10 carbons, esters, ethers and polyethers.
- 15 52. A method according to claim 47 wherein said R chemical moieties are selected from the group consisting of a biotin, streptavidin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
- 20 53. A method according to claim 47 wherein said derivatized moiety of said biomolecules are selected from the group consisting of a biotin, streptavidin, phenyl boronic acid, salicylic hydroxamic acid, vinyl, allyl, homoallyl, acetal, ester, carboxylic acid, amide, halo-acetamide, thiol, phosphorothiolate monoester, thioester, disulfide, aldehyde, ketone, hydrazide, hydrazine, and amines.
- 25 54. A method of making a microarray of biomolecules on an electronically addressable microchip comprising grafting chemical moieties having the formula P-X-R onto a permeation layer of an electronically addressable microarray wherein said grafting is carried out in the steps comprising:
- 30

- 5 a) contacting said permeation layer with a grafting slurry comprising a polymerizable monomer having the formula P-X-R and an activatable initiator to initiate polymerization and grafting, said P of said monomer comprising chemical moieties wherein P can bind to said permeation layer or polymerize with P moieties of other said monomers, said R of said monomer comprising chemical moieties wherein R can bind either covalently or noncovalently to a biomolecule having a moiety that is sensitive for binding to said R, and said X is selected from the group consisting of a chemical bond amides, carbon chains consisting of 1 to 10 carbons, ethers and polyethers;
- 10 b) activating said initiator to initiate polymerization of said monomers and grafting onto said permeation layer; and
- 15 c) contacting biomolecules having a chemical moiety that will bind to said R moieties wherein said biomolecules become bonded to said R moieties.
55. A method according to claim **54** wherein said initiator is selected from the group consisting of AIBN, ammonium persulfate and TEMED, benzoyl peroxide, D 4265, cerium (IV) sulphate,  $\alpha,\beta,\gamma$  or X-rays, electron beam irradiation or
- 20 initiation by plasma selected from the group consisting of Argon, Nitrogen, and Oxygen.
56. A method according to claim **54** wherein said permeation layer is a hydrogel.
- 25 57. A method according to claim **56** wherein said hydrogel comprises polymerized acrylamide.
58. A method according to claim **54** wherein said polymerizable monomer is selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls vinyl, allyl and homoallyl groups.
- 30

59. A method according to claim **54** wherein said R is a moiety that must be activated prior to binding with said biomolecules.
- 5 60. A method according to claim **54** wherein said permeation layer is a sol-gel.
61. A method of making a microarray of biomolecules on an electronically addressable microchip comprising grafting chemical moieties having the formula P-X-R onto a permeation layer of an electronically addressable microarray wherein said grafting is carried out in the steps comprising:
- 10 a) contacting said permeation layer with a grafting solution comprising a polymerizable monomer having the formula P-X-R and an initiator requiring activation to initiate polymerization and grafting, said P of said monomer comprising chemical moieties wherein P can bind to said permeation layer as well as polymerize with P moieties of other
- 15 said monomers, said R of said monomer comprising chemical moieties wherein R can bind either covalently or noncovalently to a biomolecule having a moiety that is sensitive for binding to said R, and said X is selected from the group consisting of a chemical bond amides, carbon chains consisting of 1 to 10 carbons, ethers and
- 20 polyethers;
- b) activating said initiator to initiate polymerization of said monomers and grafting onto said permeation layer; and
- 25 c) contacting biomolecules having a chemical moiety that will bind to said R moieties wherein said biomolecules become bonded to said R moieties.
62. A method according to claim **61** wherein said permeation layer is a hydrogel.
- 30 63. A method according to claim **62** wherein said hydrogel comprises polymerized acrylamide.

64. A method according to claim **61** wherein said initiator is selected from the group consisting of AIBN, benzoyl peroxide, D 4265, cerium (IV) sulphate,  $\alpha,\beta,\gamma$  or X-rays or initiation by plasma selected from the group consisting of Argon, Nitrogen, and Oxygen.
65. A method according to claim **61** wherein said polymerizable monomer is selected from the group consisting of alkenyl moieties,  $\alpha,\beta$ ,unsaturated carbonyls vinyl, allyl and homoallyl groups.
66. A method according to claim **61** wherein said R is a moiety that must be activated prior to binding with said biomolecules.

## ABSTRACT

This invention provides enhanced attachment of chemical moieties to the surface of an electronically addressable microchip array permeation layer. The attachment of the chemical moieties may occur at predetermined locations of the array or throughout the entire array. The attachment may be carried out by employing electronic potential at capture sites of the array to induce variations in pH of solutions in contact with the array or may be carried out by nonelectronically adjusting the pH of the solutions. The chemical moieties contemplated are pH sensitive and form reactive centers for attachment to the array. Also provided is a novel grafting method for such attachment.



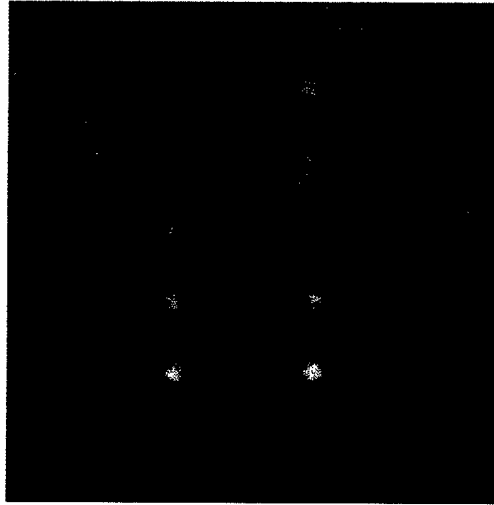


Figure 1A

Figure 1A

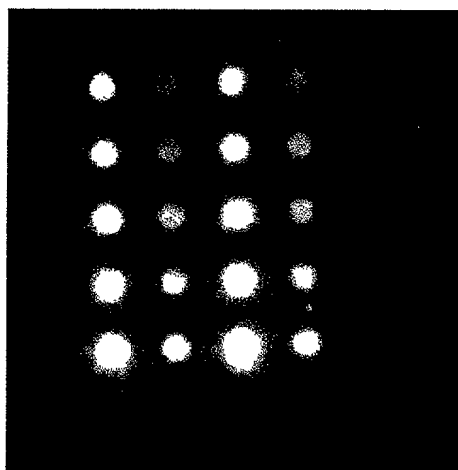
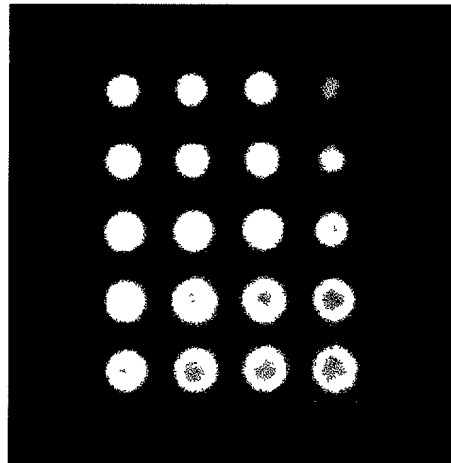


Figure 3A

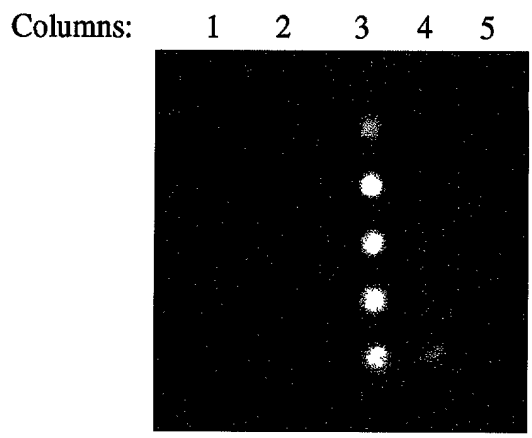
Columns:

1 2 3 4 5



MFI/sec: 134522

Figure 13



Specific	column 1	7962
	column 3	30936
Nonspecific	column 2	7013
	column 4	7500
acetal	column 5	1494
S/NS ratio for columns 3/4 = 4.1		
All numbers are in MFI/s		

Figure 14

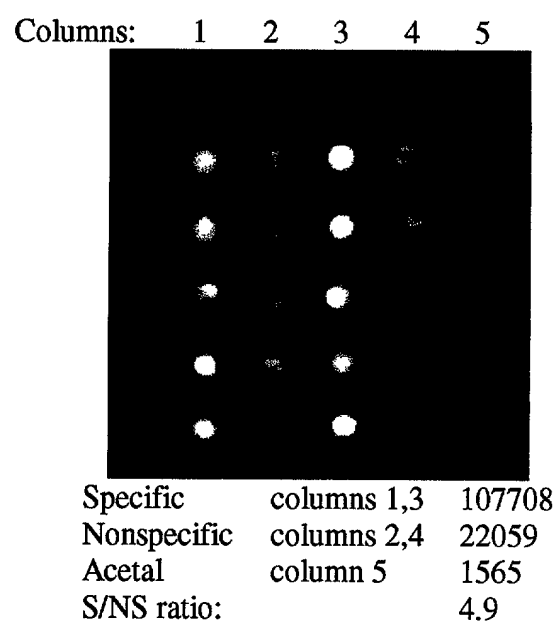


Figure 15

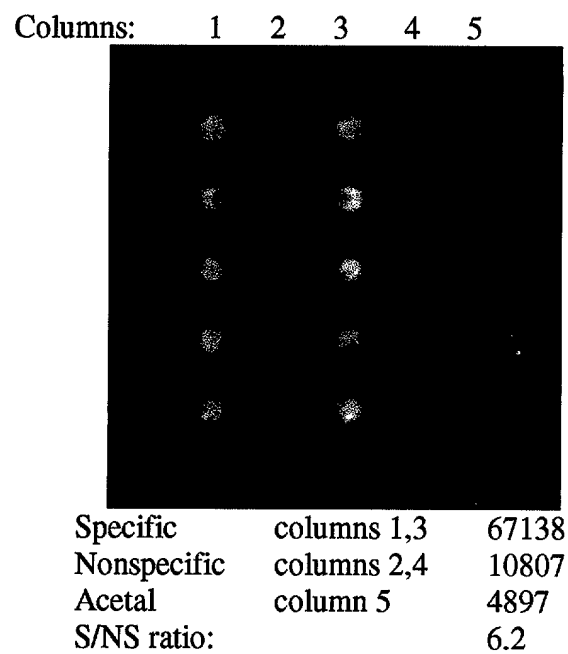


Figure 16

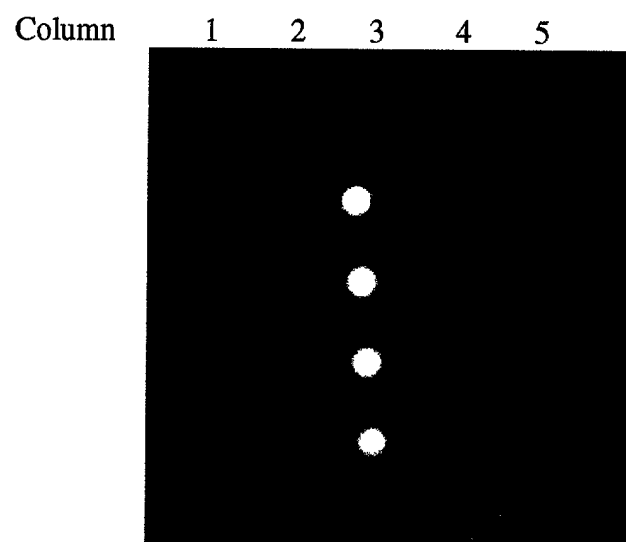


Figure 17A

Column:

1 2 3 4 5

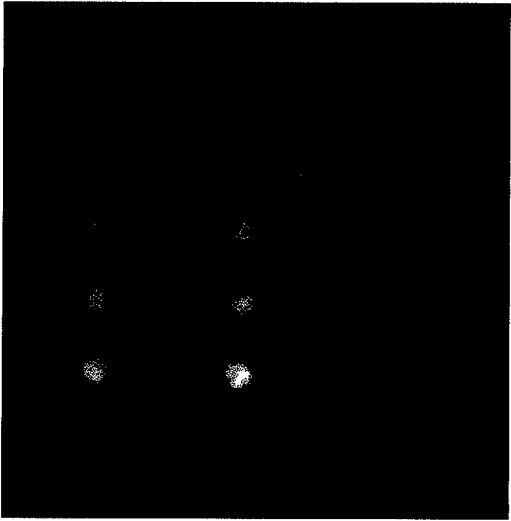


Figure 24A



Specific vs. Nonspecific Binding of PBA4 Oligos to  
SHA Modified Hydrogels

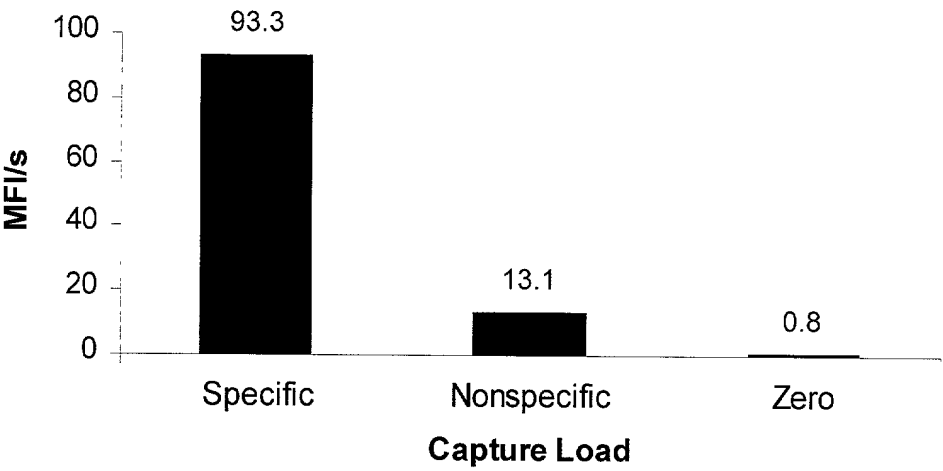


Figure 1B

Bromoacetyl-propyl-methyacrylamide Attachment System

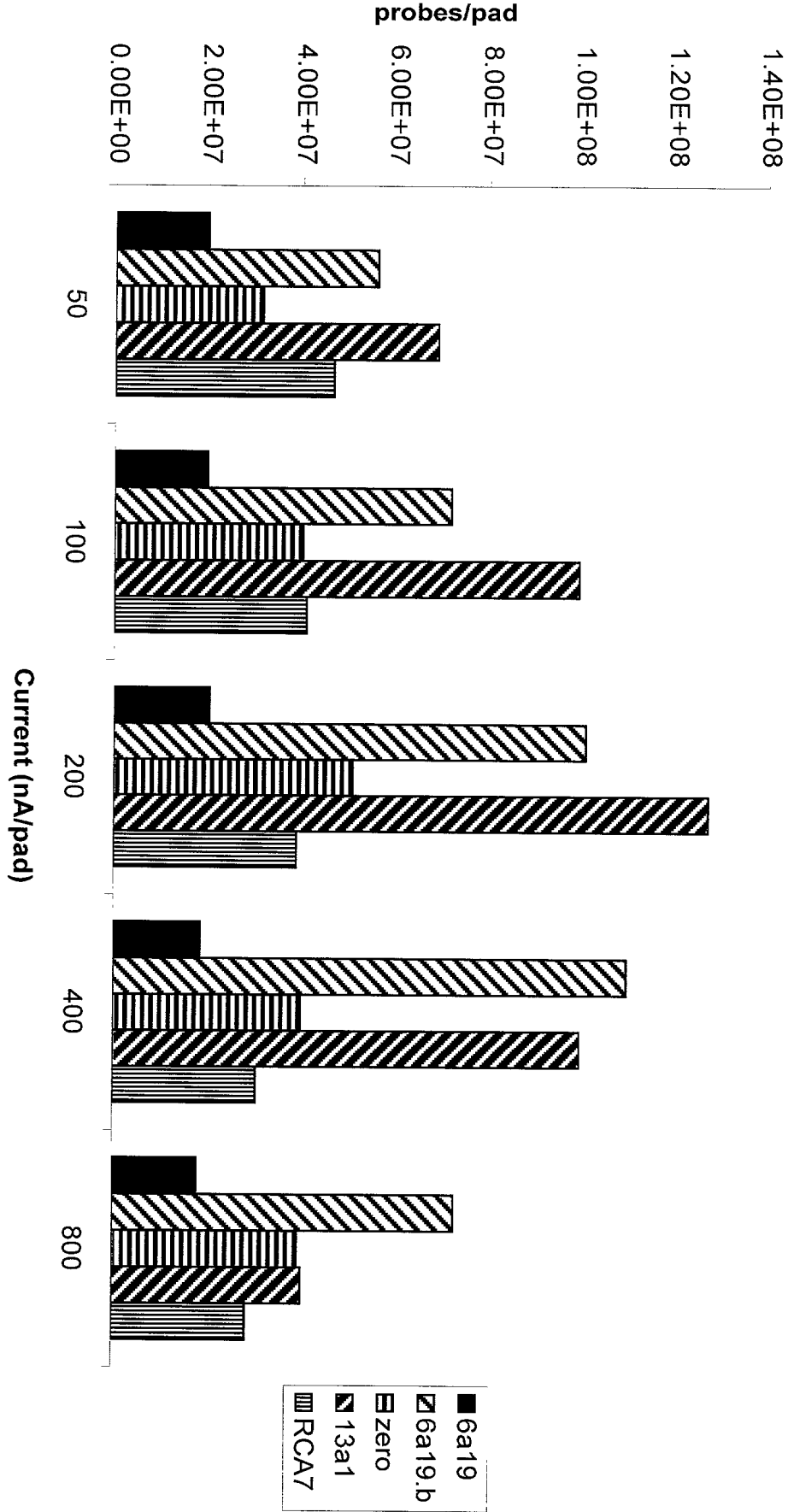


Figure 2

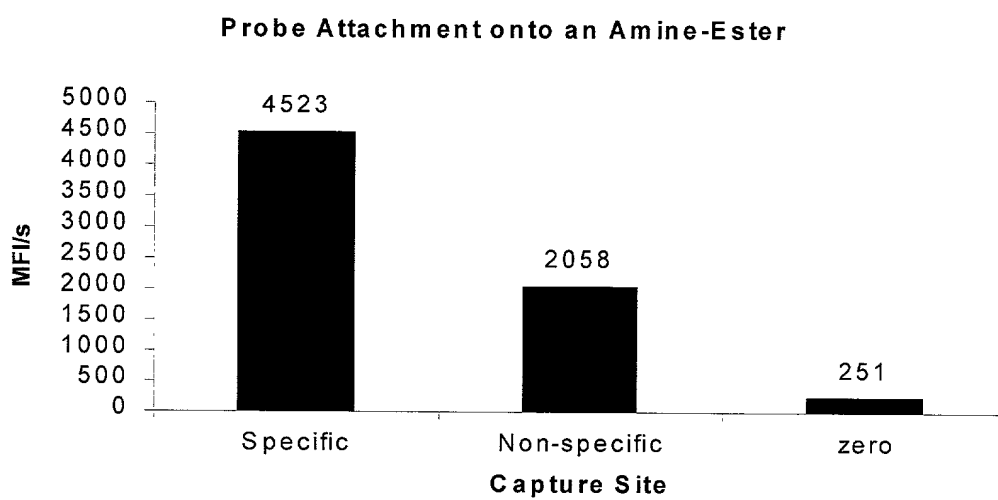


Figure 3B

Various Combinations of Slurry Grafting Compounds

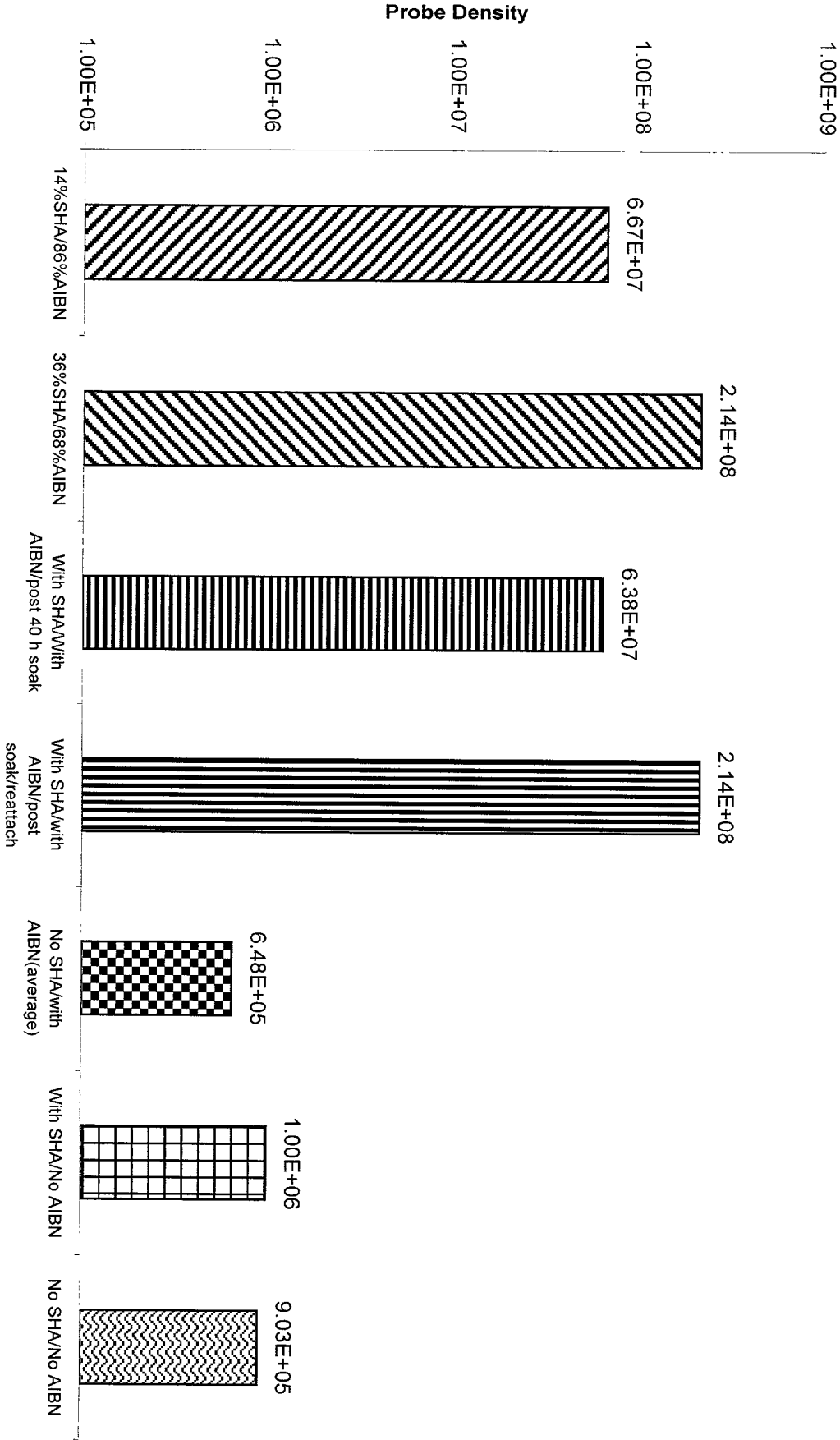


Figure 4

### Passive Attachment, Plasma Graft, Optimization of Grafting Conditions

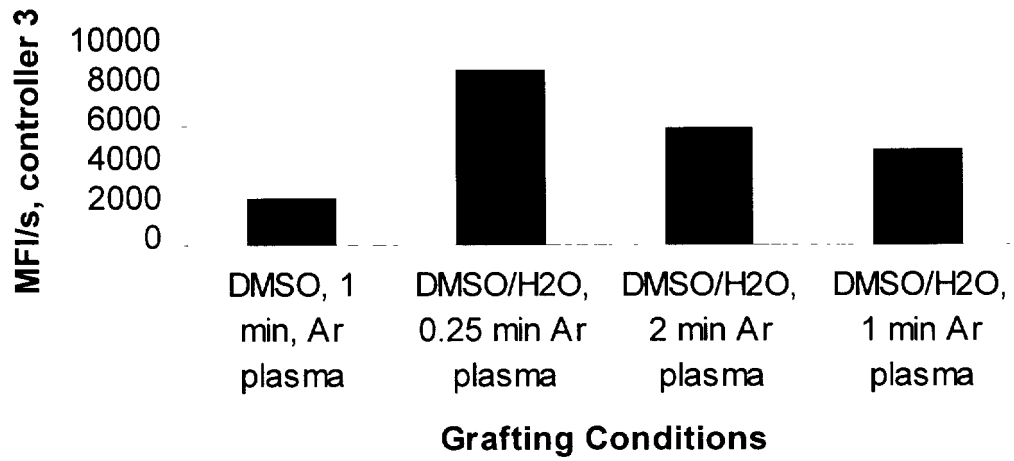


Figure 5

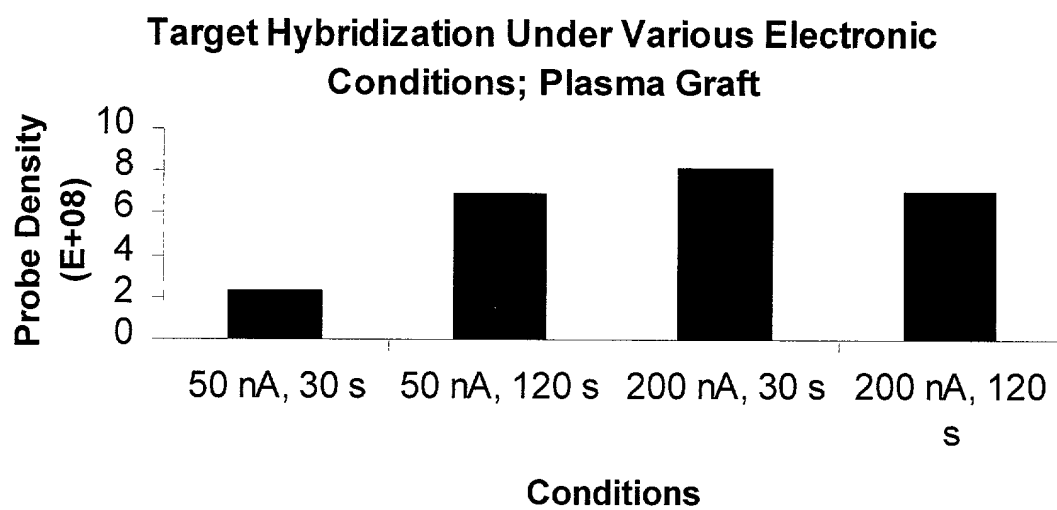


Figure 6

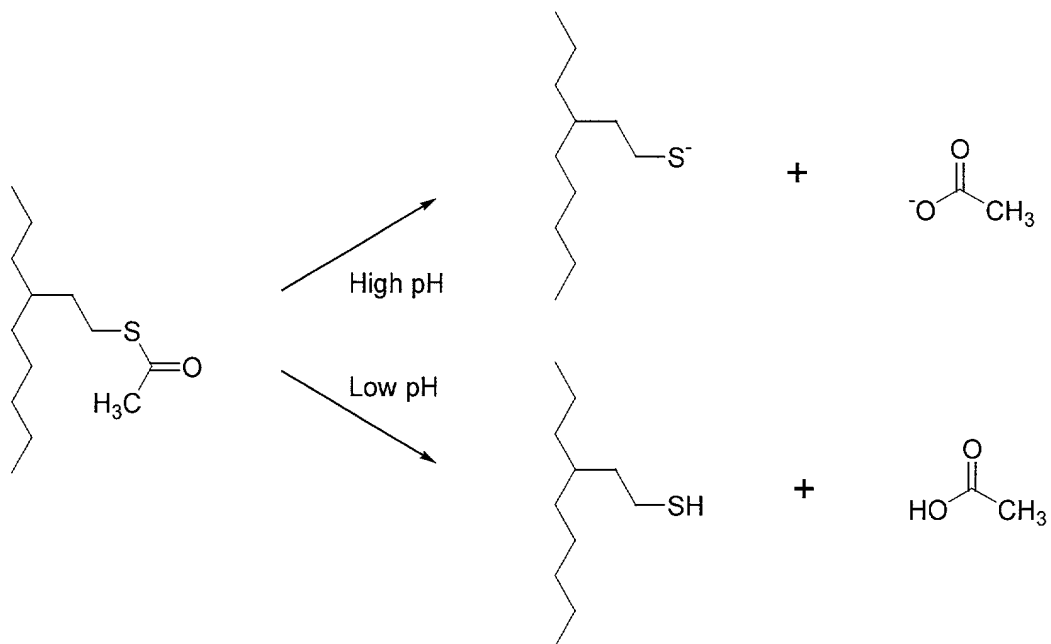
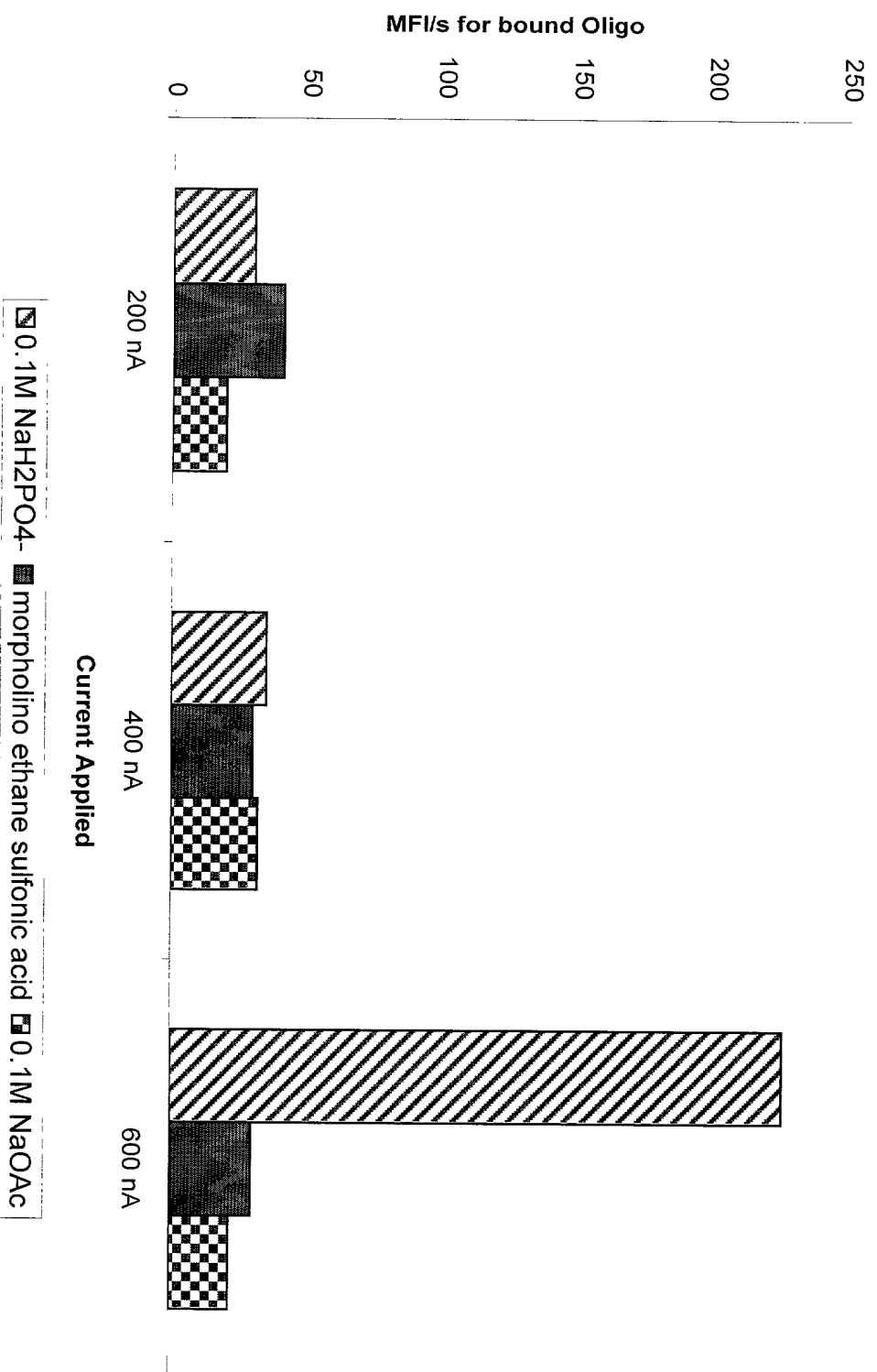


Figure 7

### Required Conditions to a Thiol as Indicated by Target Binding



## Figure 8



The diagram illustrates the synthesis of a thiol-terminated oligo on a microarray chip in three steps:

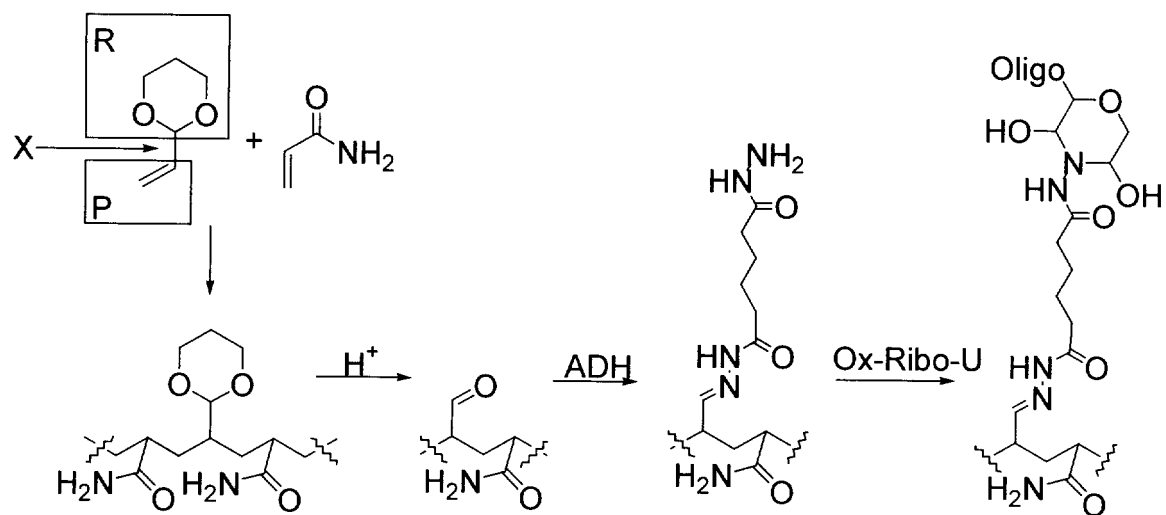
- Apex Chip:** A chip with a surface containing amine groups ( $\text{NH}_2$ ) and microlocations (black bars).
- SATP:** Reaction with S-ATP to form a thiol-terminated oligo.
- Negative Bias:** Reaction with negative bias to yield the final thiol-terminated oligo.

The chemical reaction scheme illustrates the synthesis of the hydrogel through three stages:

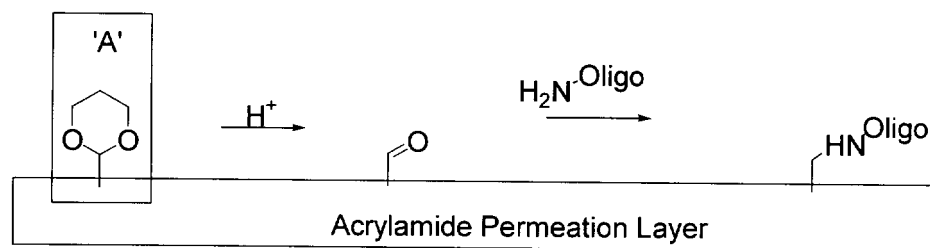
- Initial Surface:** A surface with alternating amine and thiol groups. Some amine groups are capped with CAP, and some thiol groups are capped with Oligo-label.
- Reaction with Thiol and Reactive Cap:** The surface reacts with a thiol and a reactive cap, resulting in the formation of disulfide bonds (S-S) and the addition of CAP groups.
- Reaction with Hydroxylamine:** The surface reacts with a hydroxylamine, resulting in the formation of hydroxylamine-terminated chains (NH-OH) and the addition of CAP groups.

## General Schemes

Scheme 1



Scheme 2



Scheme 3

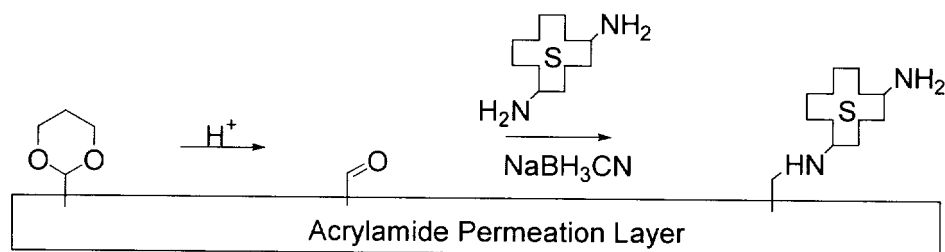


Figure 10

# Electronic Deprotection, Dihydrazide attachment, Reverse Dot Blot Analysis of an Acetal Hydrogel

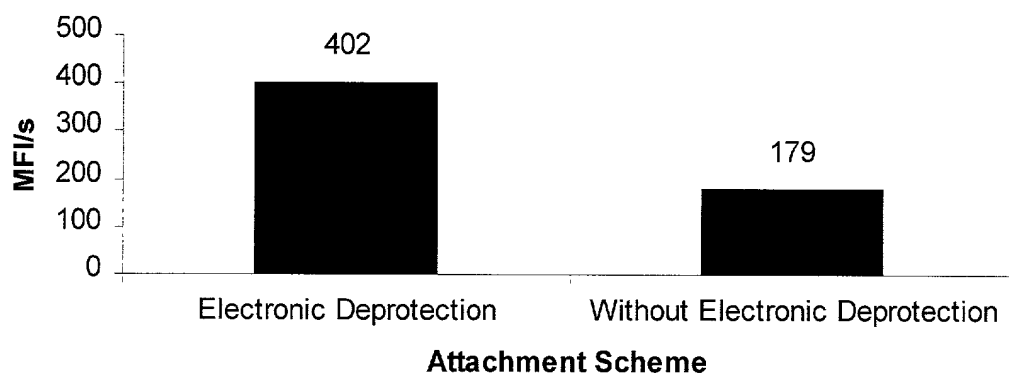


Figure 11

# Electronic Deprotection of an acetal with Detection by Amine Terminated ATA7-BTR

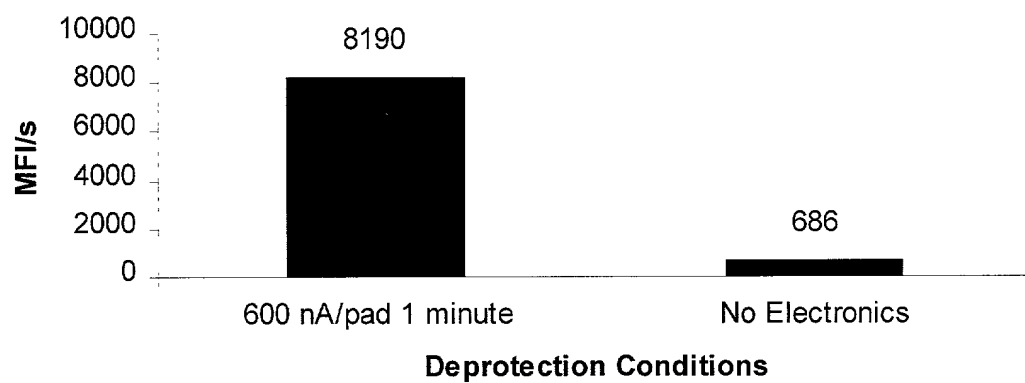


Figure 12

Specific and Nonspecific Binding of Biomolecules to A  
capture Applied with the Solution Grafting Method

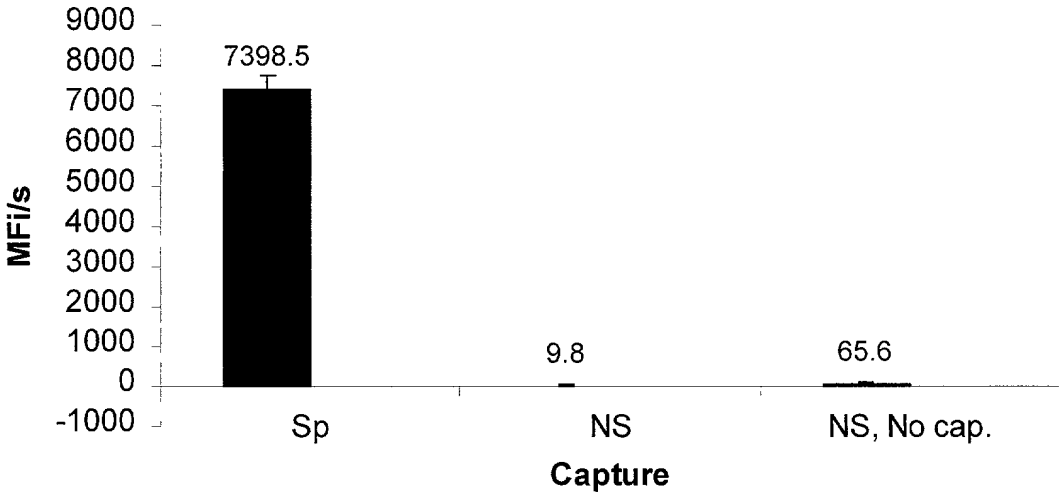


Figure 17B

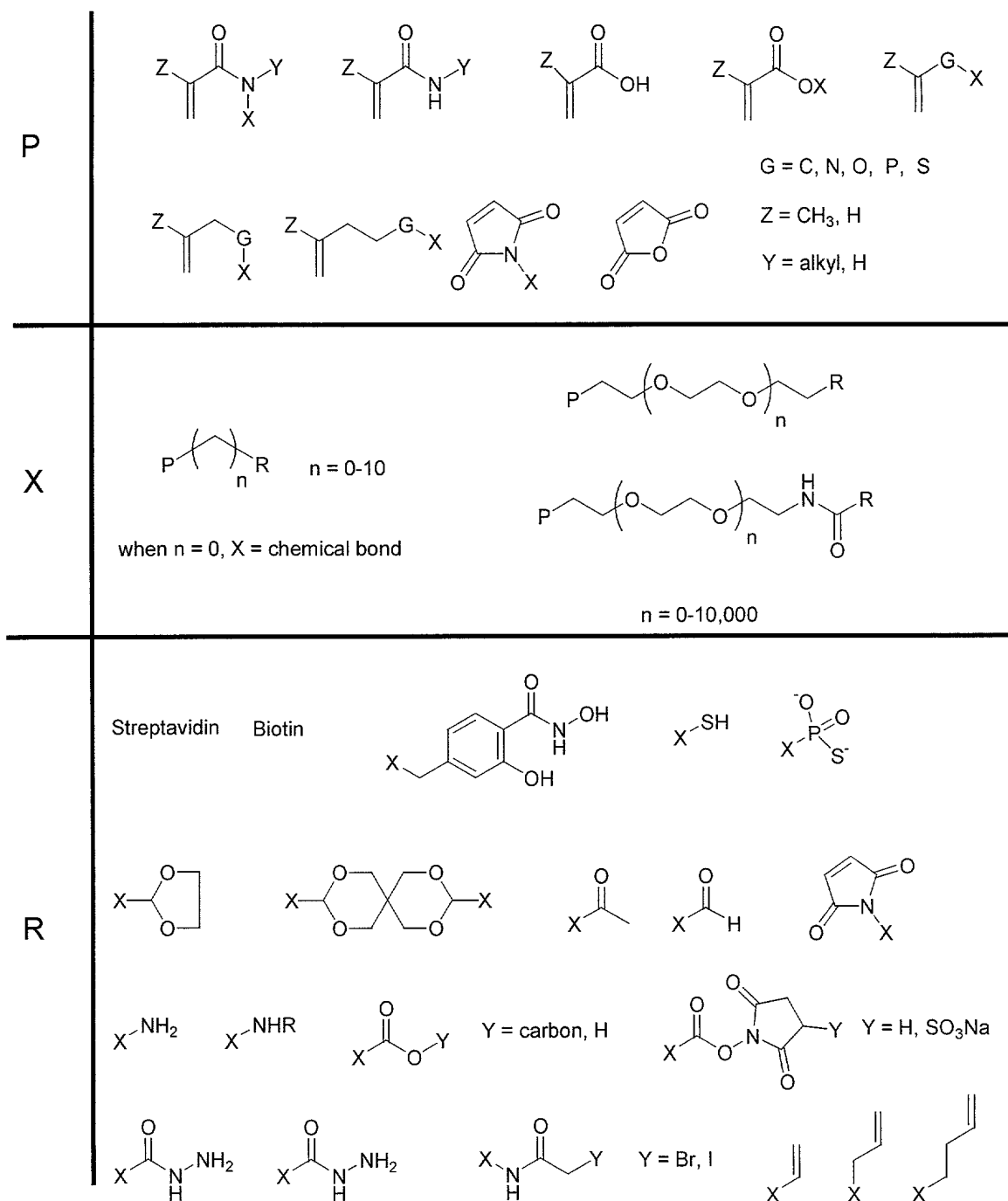


Figure 18

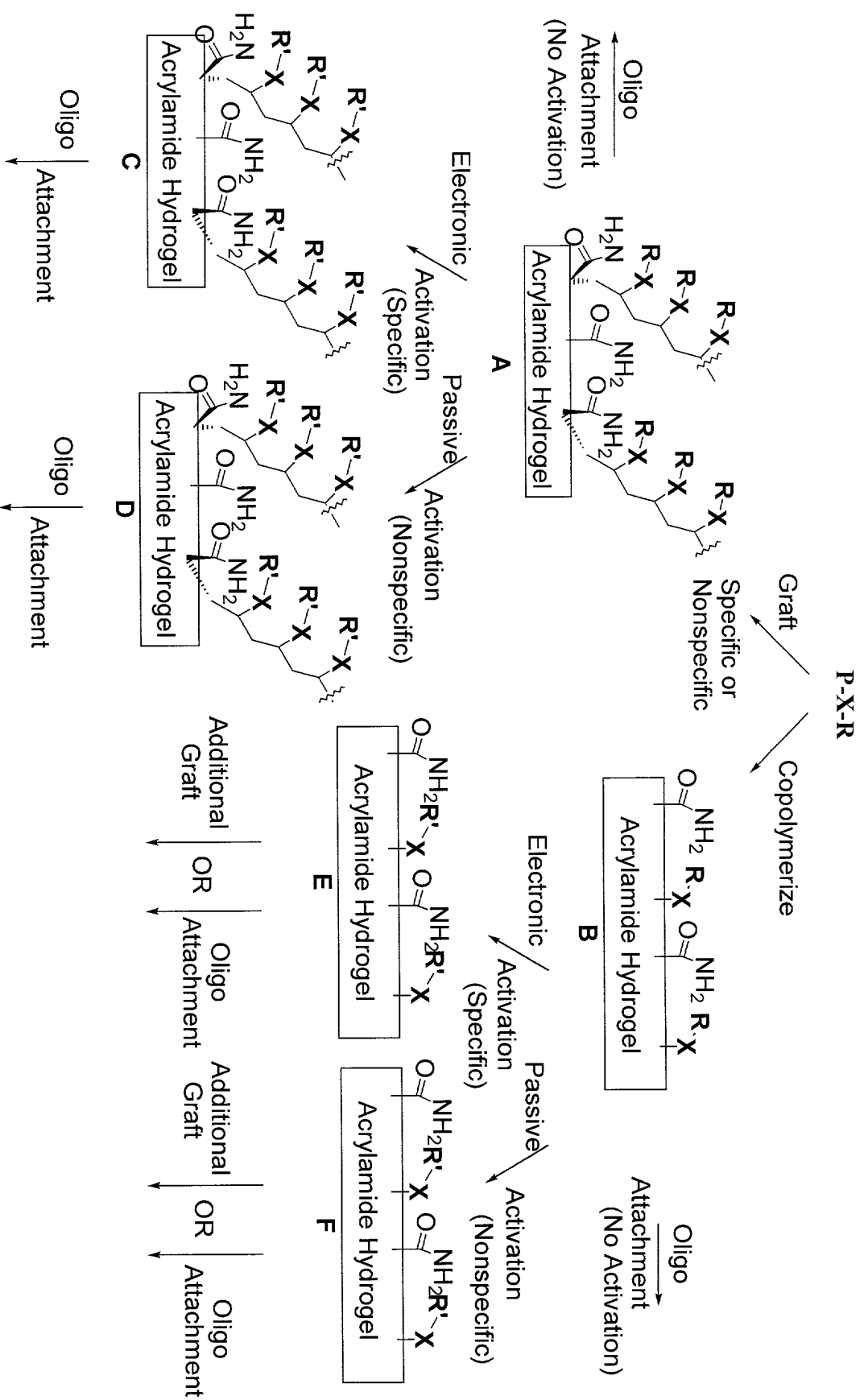


Figure 19

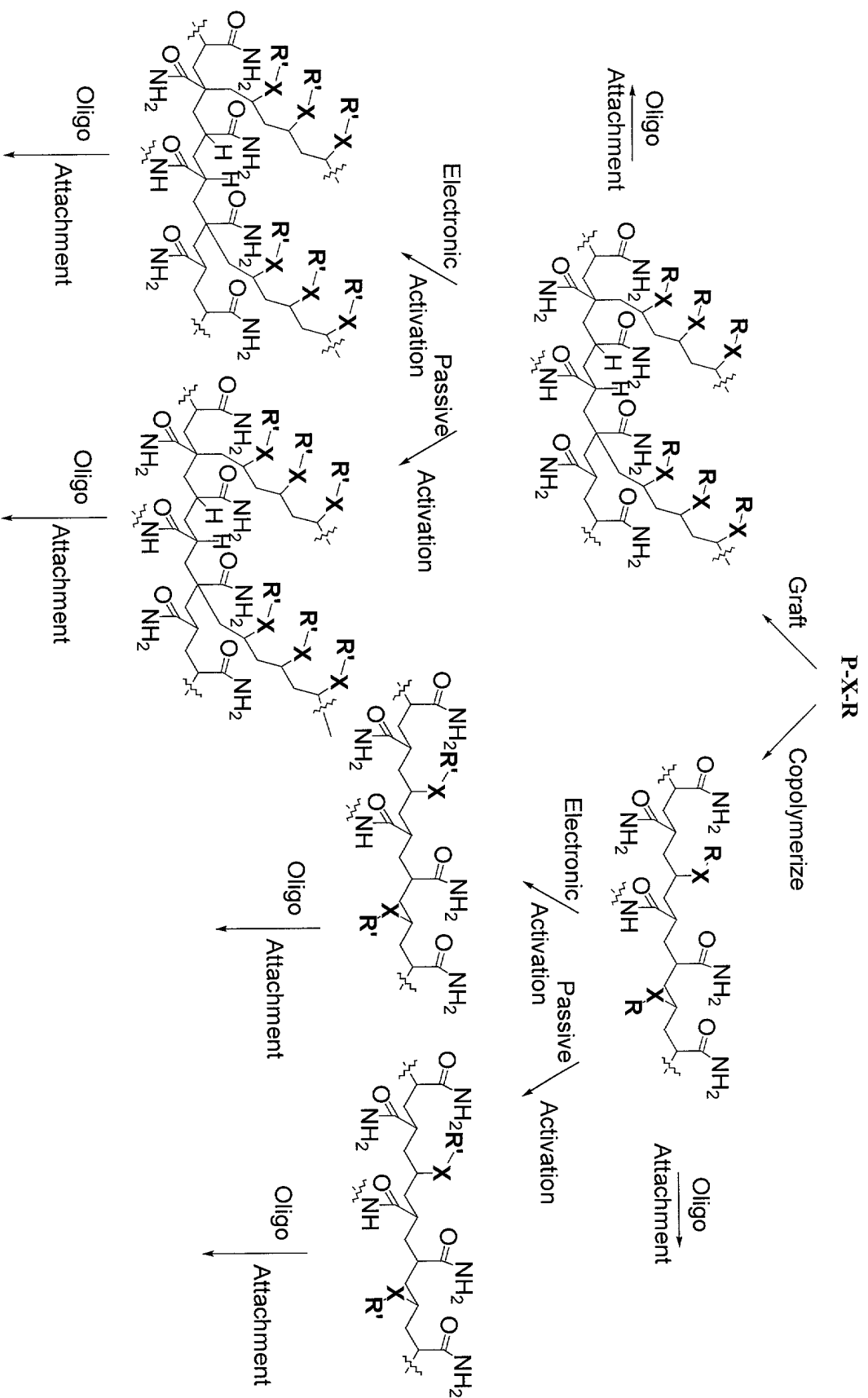


Figure 20







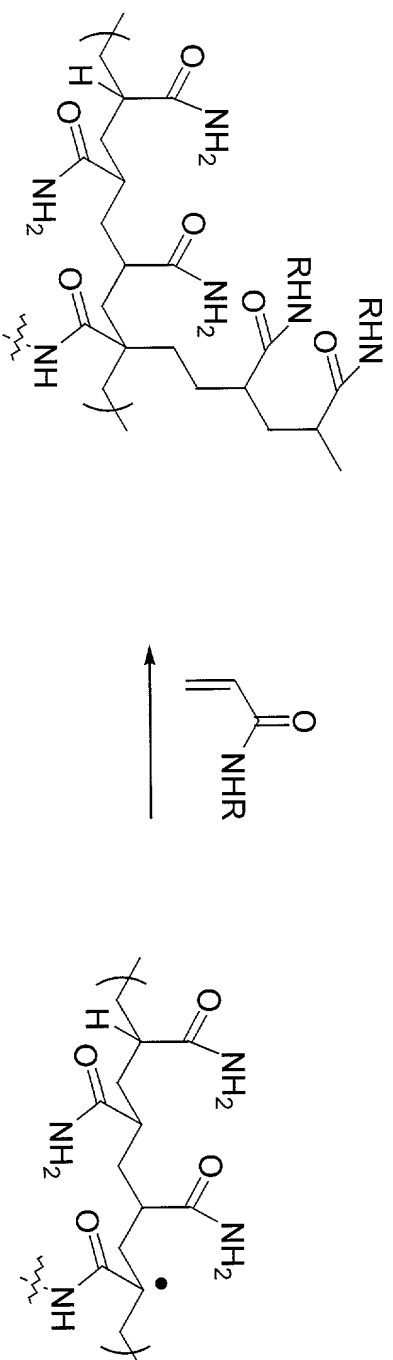
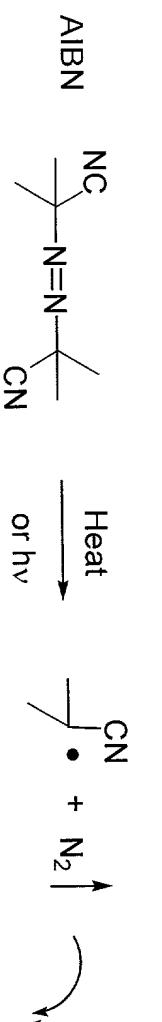
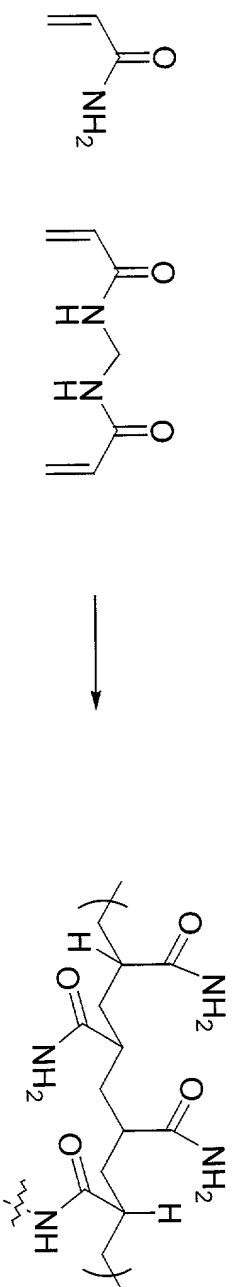
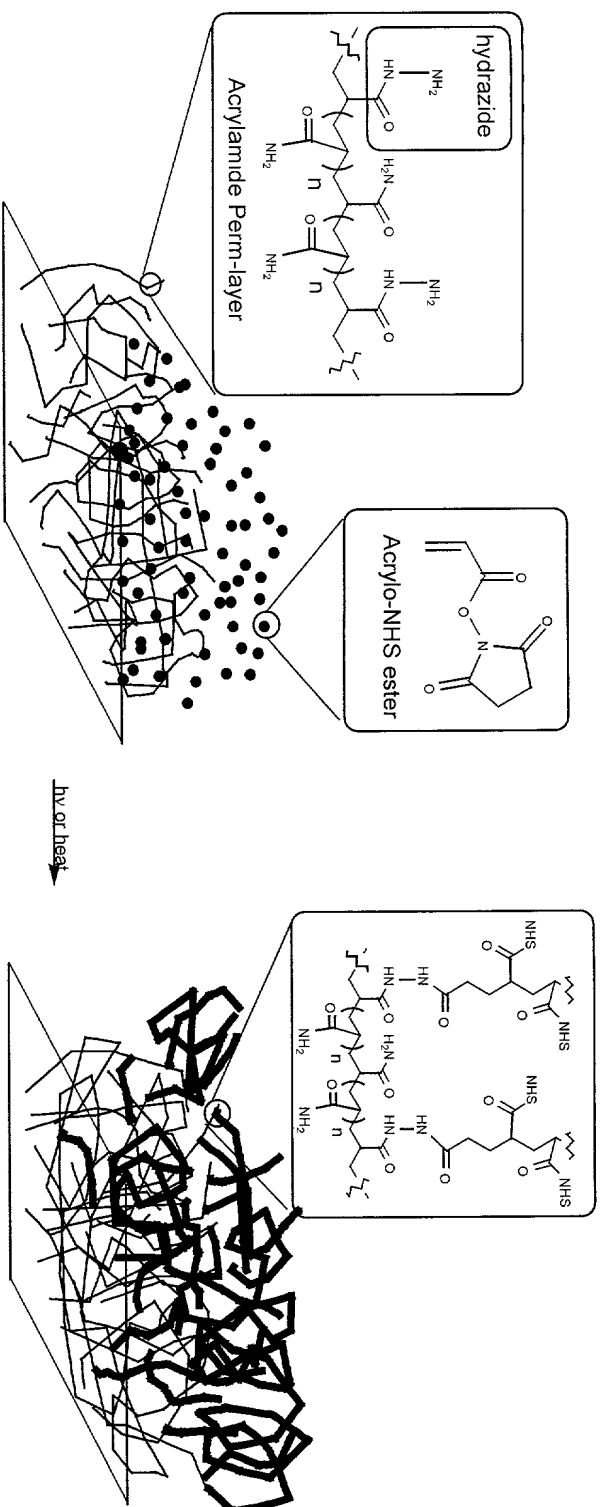


Figure 22B



At the polymer surface:

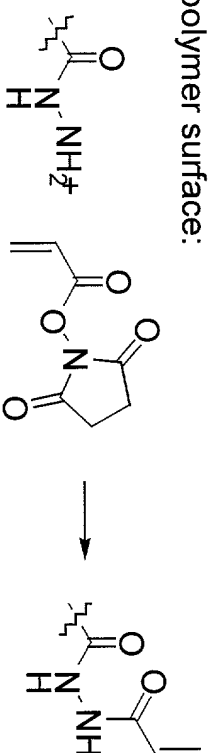
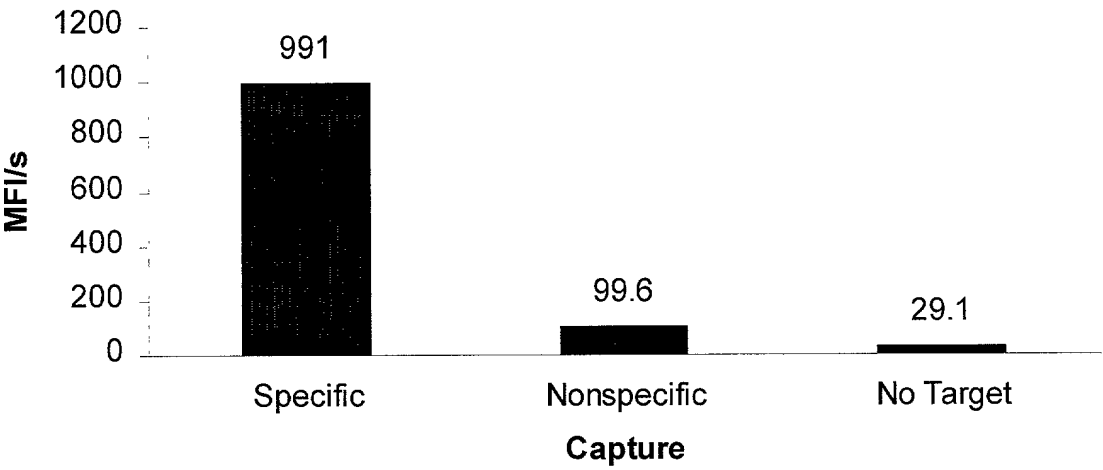


Figure 23

**Bromoacetamide-SHA: Electronic Attachment of BTR  
Labeled Probes**



**Figure 24B**

**DECLARATION  
AND POWER OF ATTORNEY  
Utility Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **BIOMOLECULAR ATTACHMENT SITES ON MICROELECTRONIC ARRAYS AND METHODS THEREOF** the specification of which

(Check One) ☒ is attached hereto OR  
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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned

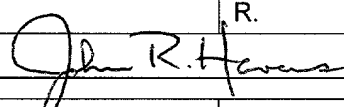
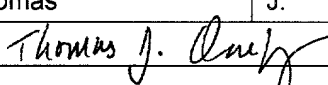
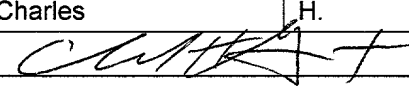
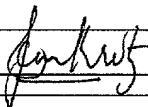
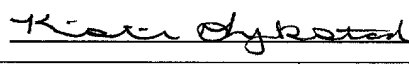
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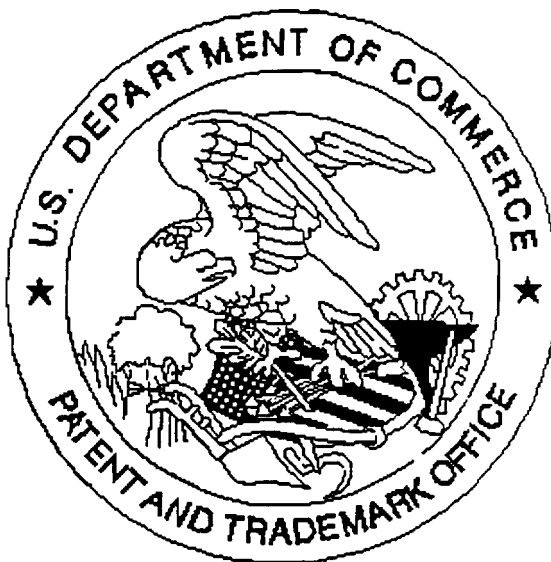
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